

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6560
P&S#: 6130
DATE: January 11, 2008
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Linda Shackleton
Account Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:

Last Trade Date, January 11, 2008:

MetLife Securities, Inc. No. 4865
Quasar Distributors, LLC/Claymore Trust No. 4985

Last Trade Date, January 14, 2008:

Hartford Life Insurance Company/529 Plans No. 4659

- The following firm will change their name only as detailed below:

Effective First Trade Date January 09, 2008:

From: Quasar Distributors, LLC/Fund Quest No. 5614
To: Quasar Distributors, LLC/ActivePassive Funds No. 5614

- The following firm will begin participation in the Insurance Processing Service, as detailed below:

First Trade Date, January 14, 2008:

Great American Life Insurance Company No. 5949

- The following firm will begin participation in the When Issued Municipal Bond Comparison System, as detailed below:

First Trade Date, January 16, 2008:

Interactive Brokers LLC.	No. 0017
Muni Alpha Identifier	IBKR

- An additional number has been assigned to DWS Scudder Distributors, Inc. (#5503) for the purpose of utilizing the Fund/SERV service, as detailed below:

First Trade Date, January 14, 2008:

DWS Scudder Distributors Inc./Deutsche Bank	No. 5459
---	----------

- An additional number has been assigned to UMB Distribution Services, LLC/UMB Scout Funds (#4854) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, ACATS Fund/SERV, and Mutual Fund Profile Phase I services, as detailed below:

First Trade Date, January 14, 2008:

UMB Distribution Services, LLC/Grand DS/Investment Managers Series Trust	No. 4985
Networking Code:	7N
Contact: Darren Siverling	
Phone: (414) 299-2160	

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, January 14, 2008:

Blaylock Robert Van, LLC.	PERS	0443	BVRL
---------------------------	------	------	------

- Kindly update the NSCC Directory dated October 11, 2007 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, January 09, 2008:</u> Albert Fried & Company, LLC	AFCO	0284	AFCP	
<u>Add First Trade Date, January 08, 2008:</u> Wellington West Capital Markets (USA) Inc.	FMAF	5008	WWCM	
<u>Add First Trade Date, January 09, 2008:</u> ThinkOrSwim, Inc.	PFSI	0234	TOSM	
<u>Add First Trade Date, January 10, 2008:</u> Penson Financial Services, Inc. Penson Financial Services, Inc.	PFSI PFSI	0234 0234	PFTS PFEV	
<u>Add First Trade Date, January 11, 2008:</u> Instinet, LLC	ACRA	0436	INAR	
<u>Clearing Broker Change, Effective First Trade Date, January 10, 2008</u> From: BCP Securities, LLC To: BCP Securities, LLC	PERS BSSC	0443 0352	BRCY BRCY	

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545 or William Marcellus at (813) 470-1224.