

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6581
P&S#: 6151
DATE: March 7, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- The following firms will retire from all services, as detailed below:

Last Trade Date, March 3, 2008:

PFPC Distributors, Inc./Constellation Institutional Portfolios No. 6602

Last Trade Date, March 7, 2008:

Quasar Distributors, LLC/Greenville Small Cap Growth Fund No. 5498

- The following firm will no longer participate in the Trade Comparison & Recording, ACATS Fund/SERV, and RECAPS services, as detailed below:

Last Trade Date, March 7, 2008:

KV Execution Services LLC No. 0407

OTC Alpha Identifier: KVXS

Listed Alpha Identifier: BHH

- The following firm will no longer participate in the Trade Comparison & Recording services, as detailed below:

Last Trade Date, March 7, 2008:

KV Execution Services LLC/Customer No. 0660

Listed Alpha Identifier: KVX

- The following firm will no longer participate in the DSS service, as detailed below:
Last Trade Date, March 7, 2008:
Van Kampen Funds Inc. No. 0692
- The following firms will no longer participate in the ACATS service, as detailed below:
Last Trade Date, March 17, 2008:
The Bank of New York No. 0901

Last Trade Date, March 20, 2008:
KV Execution Services LLC No. 0407
- The following firm will begin participation in the Fund/SERV service, as detailed below:
First Trade Date, March 7, 2008:
Russell Trust Company No. 5964
- The following firm will change its NYSE/AMEX Listed Alpha Identifier as detailed below:
First Trade Date, March 10, 2008:
From: RBC Capital Markets Corporation No. 0235
Listed Alpha Identifier: RBQ

To: RBC Capital Markets Corporation No. 0235
Listed Alpha Identifier: RBC
- The following firm will begin participation in the Fund/SERV, Networking, Mutual Fund Profile Phase I, Commission Settlement and ACATS Fund/SERV services, as detailed below:
First Trade Date, March 10, 2008:
Natixis Distributors, LP/ Natixis International Funds(LUX)I No. 6677
Networking Code: YU
Contact: Margaret McCarthy
Phone: +44 (20) 7614 2426
- The following firm will begin participation in the Mutual Fund Profile Phase I service, as detailed below:
First Trade Date, March 10, 2008:
Aquarius Fund Distributors, LLC/Arrow Funds No. 4824
- The following firm will begin participation in the Commission Settlement service, as detailed below:
First Trade Date, March 10, 2008:
Manning & Napier Investor Services Inc. No. 5753

- Please update the NSCC Directory dated January 4, 2008 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, March 6, 2008:</u>				
Alta Capital Group, LLC	QRCC	0158	ACGL	
<u>Delete Last Trade Date, March 7, 2008:</u>				
Royce Fund Services, Inc.	KVXS	0407	QDIS	
<u>Clearing Broker Change, Effective First Trade Date, March 10, 2008:</u>				
From: Hand Securities, Inc.	BANK	0701	AIRS	
To: Hand Securities, Inc.	FSWC	0309	AIRS	
<u>Add First Trade Date, March 4, 2008:</u>				
K.C. Ward Financial	LGNT	0052	KCWF	
Linkbrokers Derivatives Corporation	PERS	0443	LINQ	
<u>Add First Trade Date, March 10, 2008:</u>				
MND Partners, Inc.	MLCO	0161	MNDY	
Labranche Financial Services, Inc	MLCO	0161	LABD	

- Please update the NSCC Directory dated January 4, 2008 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change, Effective First Trade Date, March 10, 2008:</u>				
From: Prebon Financial Products Inc.	LYON	0651	PFPI	
To: Prebon Financial Products Inc.	PERS	0443	PFPI	
<u>Add First Trade Date, March 10, 2008:</u>				
Penson Financial Services, Inc.	PFSI	0234	PFGB	
<u>Add First Trade Date, March 28, 2008:</u>				
Blue Trading, Inc.	QRCC	0158	BTNY	

- Please update the NSCC Directory dated January 4, 2008 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, March 7, 2008:</u> East Shore Partners, Inc.	QRCC	0158	ESPN	
<u>Add First Trade Date, March 10, 2008:</u> Prebon Financial Products Inc.	PERS	0443	PFPI	

If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224 or e-mail NSCCAA@DTCC.com