

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6602
P&S#: 6172
DATE: April 11, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- The following firm will retire from all services, as detailed below:
Last Trade Date, April 9, 2008:
Carolina First Bank No. 6730
- The following firms will retire from all services, as detailed below:
Last Trade Date, April 11, 2008:
Aquarius Fund Distributors, LLC/The Alpha Fund No. 6655
KV Execution Services, LLC No. 0407
KV Execution Services, LLC/Customer No. 0660
- The following firm will begin participation in the Fund/SERV and Mutual Fund Profile Phase I & II services, as detailed below:
First Trade Date, April 14, 2008:
Jefferies & Co. Inc. No. 0019
- The following firm begin participation in the ACATS and RECAPS services, as detailed below:
First Trade Date, April 14, 2008:
Mizuho Securities USA Inc. No. 0892

- An additional number has been assigned to Aquarius Fund Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC & S, Mutual Fund Profile Phase I & II, and ACATS services:

First Trade Date, April 14, 2008:

Aquarius Fund Distributors LLC/Dent Strategic Portfolio No. 5634
 Networking Symbol: ZI
 Contact: Brian Nielson
 Phone: (402) 493-1731 x2

- An additional number has been assigned to PFPC Distributors, Inc. (#0440) for the purpose of utilizing the Fund/SERV and Networking services:

First Trade Date, April 14, 2008:

PFPC Distributors Inc/Full Service House Account No. 4706
 Networking Symbol: Y4
 Contact: Wayne Barter
 Phone: (610) 382-7369

- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Revision to Executing Broker Name, Effective Immediately

From: Wilmington Capital Securities, L.L.C.	QRCC	0158	TSGI
To: Tejas Securities Group, Inc.	QRCC	0158	TSGI

Clearing Broker Change, Effective First Trade Date, April 14, 2008

From: Trident Partners Ltd.	-	0235	TRIP
To: Trident Partners Ltd.	FCCP	0141	TRIP

Delete Last Trade Date, April 8, 2008

Eurolink Securities Inc.	PFSI	0234	FINX
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Add First Trade Date, April 10, 2008:

LFC Securities, LLC	SWST	0279	LFCS
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Add First Trade Date, April 21, 2008:

Nunami Services, LLC	MLCO	0161	NAMB
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- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, April 10, 2008</u> Empire Financial Group, Incorporated	PFSI	0234	EFGI	
<u>Add First Trade Date, April 10, 2008:</u> Genesis Securities, LLC	GNDT	0118	GNSB	
<u>Add First Trade Date, April 30, 2008:</u> Success Trade Securities, Inc.	NACI	0297	VERN	
<u>Add First Trade Date, May 1, 2008:</u> Atlantic Financial Services of Maine, Inc.	SALI	0750	AFSM	

If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224 or e-mail NSCCAA@DTCC.com