

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6606
P&S#: 6176
DATE: April 25, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- The following firm will retire from all services, as detailed below:

Last Trade Date, April 30, 2008:

First American Trust Company No. 5989

- The following firm will begin participation in the Commission Settlement service, as detailed below:

First Trade Date, April 22, 2008:

Foreside Fund Services, LLC/Ironwood Series Trust No. 5745

- The following firm will begin participation in the ACATS Fund/SERV service, as detailed below:

First Trade Date, April 28, 2008:

Koonce Securities, Inc. No. 0712

- The following firm will begin participation in the Mutual Fund Profile Phase I service, as detailed below:

First Trade Date, April 28, 2008:

Eaton Vance Distributors, Inc./Eaton Vance Trust Company No. 5456

- An additional number has been assigned to Fund Distributors, Inc. (#5497) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC & S, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

First Trade Date, April 28, 2008:

Funds Distributors LLC/Allstate Financial Investment Trust Fund No. 5980
 Networking Symbol: ZZ
 Contact: Rob Manupelli
 Phone: (617) 483-7406

- Two additional numbers have been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing the Fund/SERV, Networking, DCC & S, and ACATS Fund/SERV services, as detailed below:

First Trade Date, April 30, 2008:

Ultimus Fund Distributors LLC/Stralem Funds No. 5639
 Networking Symbol: ZS
 Contact: Doug Jones
 Phone: (513) 587-3408

Ultimus Fund Distributors LLC/The RAM Funds No. 5640
 Networking Symbol: ZT
 Contact: Doug Jones
 Phone: (513) 587-3408

- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Changes, Effective

First Trade Date, April 23, 2008

From: Citation Financial Group, LP	QRCC	0158	CITA
To: Citation Financial Group, LP	PERS	0443	CITA
From: Avalon Partners, Inc	PFSI	0234	AVAP
To: Avalon Partners, Inc	-	0235	AVAP

Add First Trade Date, April 23, 2008:

Standard Credit Securities Inc.	PERS	0443	SCSI
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- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, April 23, 2008:

Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLSE
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLSH
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLSJ
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLSK
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLSL
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLSM

Add First Trade Date, April 25, 2008:

Goldman Sachs Execution & Clearing, L.P.	GSEC	0501	GSAA
Goldman Sachs Execution & Clearing, L.P.	GSEC	0501	GSBB
Goldman Sachs Execution & Clearing, L.P.	GSEC	0501	GSCC
Goldman Sachs Execution & Clearing, L.P.	GSEC	0501	GSDD
Goldman Sachs Execution & Clearing, L.P.	GSEC	0501	GSEE

If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Melanie Maingot at (813) 470-1225, or e-mail NSCCAA@DTCC.com