

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6608
P&S#: 6178
DATE: May 2, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- The following firms will retire from all services, as detailed below:

Last Trade Date, April 25, 2008:

Syndicated Capital, Inc./Puerto Rico Inc. Growth No. 6624

Last Trade Date, April 28, 2008:

Evergreen Investment Services Inc./529 Plan No. 4943

Last Trade Date, May 9, 2008:

Quasar Distributors, LLC/FFTW Funds No. 4926

- The following firm will change their name only, as detailed below:

Effective First Trade Date , April 29, 2008:

From: Foreside Distribution Services, L.P./STI Classic Funds No. 4920

To: Foreside Distribution Services, LP/Ridgeworth Funds No. 4920

- The following firm will begin participation in the Insurance Products Service and Commission Settlement services, as detailed below:

First Trade Date, May 1, 2008:

Breck & Young Advisors, Inc. No. 3971

- The following firm will begin participation in the Insurance Products Service, as detailed below:

First Trade Date, May 2, 2008:

ING Financial Advisers, LLC No. 0172

- The following firm will begin participation in the Fund/SERV, Networking, OTC Comparison, and ACATS, and RECAPS services, as detailed below:

First Trade Date, May 7, 2008:

Vision Financial Markets LLC No. 0595

Networking Symbol: YO

OTC Comparison Symbol: VSIN

Contact: Howard Brunn

Phone: (203) 388-2689

- An additional number has been assigned to Aquarius Fund Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC & S, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

First Trade Date, April 28, 2008:

Aquarius Fund Distributors, LLC/Puerto Rico Growth Fund No. 6624

Networking Symbol: 7P

Contact: Brian Nielsen

Phone: (402) 493-2811

- An additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing the Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

First Trade Date, April 30, 2008:

ALPS Distributors Inc./ALPS Variable Insurance Trust No. 5635

Networking Symbol: Z2

Contact: Brian Bishop

Phone: (720) 917-0617

- An additional number has been assigned to PHL Variable Life Insurance Co. (#4582) for the purpose of utilizing the Insurance Product Service and Fund/SERV services, as detailed below:

First Trade Date, May 1, 2008:

PHL Variable Life Insurance Company/Part Advisor No. 4711

- An additional number has been assigned to First Dominion Capital Corp. (#5823) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC & S, and Mutual Fund Profile Phase I & II services, as detailed below:

First Trade Date, May 5, 2008:

First Dominion Capital Corp./Satuit Funds No. 5903
 Networking Symbol: Z3
 Contact: Will Schaefer
 Phone: (804) 267-7400 ext. 7433

- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change,

Effective First Trade Date, May 1, 2008

From: Clancy Financial Services, Inc.	MLCO	0161	CLAN
To: Clancy Financial Services, Inc.	AFCO	0284	CLAN

Clearing Broker Change,

Effective First Trade Date, May 9, 2008

From: Hardcastle Trading USA, LLC	FOCC	0690	HCTA
To: Hardcastle Trading USA, LLC	SILK	0501	HCTA

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<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Executing Firm Name Change,

Effective First Trade Date, April 29, 2008

From: Depfa Securities LLC	PERS	0443	DEFA
To: Depfa First Albany Securities, LLC	PERS	0443	DEFA

Clearing Broker Change,

Effective First Trade Date, May 2, 2008

From: Hunter World Markets, Inc.	-	0235	WRLD
To: Hunter World Markets, Inc.	PFSI	0234	WRLD

Delete Last Trade Date, April 29, 2008

Richfield Orion International, Inc.

SWST 0279 ROII

Add First Trade Date, April 30, 2008

H.C. Wainwright & Co., Inc.

LGNT 0052 WAIN

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<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, April 29, 2008

Depfa First Albany Securities, LLC

PERS 0443 DEFA

If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Melanie Maingot at (813) 470-1225, or e-mail NSCCAA@DTCC.com