

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6616  
**P&S#:** 6186  
**DATE:** May 16, 2008  
**TO:** All Participants  
**ATTENTION:** Manager, Purchaser and Sales Department  
Cashier, Fund/SERV Participants, ACATS Participants,  
Municipal Bond Comparison Participants  
**FROM:** Linda Shackleton  
Account Administration  
**SUBJECT:** Changes in the List of Participants

- The following firms will retire from all services, as detailed below:

**Last Trade Date, May 12, 2008:**

Quasar Distributors LLC/FFTW Funds No. 4926

**Last Trade Date, May 14, 2008:**

Charles Schwab Trust Company/CSTC SITF No. 4998

Nationwide Fund Distributors LLC/Nationwide Global Funds No. 5453

**Last Trade Date, May 16, 2008:**

Jolly (Joe) & Co., Inc. No. 6898

- The following firms have cancelled their previously announced retirements from all services, as detailed below:

**First Trade Date, Effective immediately:**

Nationwide Life Insurance Company of America No. 4576

Nationwide Life And Annuity Company Of America No. 4577

- The following firm will no longer participate in the OTC Comparison and RECAPS services, as detailed below:

**Last Trade Date, May 12, 2008:**

Frankel (Wm. V.) And Co., Inc. No. 0623  
 OTC Indicator: FRAN

- The following firm will no longer participate in the ACATS and ACATS Fund/SERV, as detailed below:

**Last Trade Date, May 15, 2008:**

State Street Bank & Trust Co/Inst'l Custody No. 2132

- The following firm will no longer participate in the Envelope Settlement Service, as detailed below:

**Last Trade Date, May 27, 2008:**

Bank of America, N.A./US Trust No. 0931

- An additional number has been assigned to Prudential Insurance Company of America (#4968) for the purpose of utilizing the Fund/SERV, Networking, DCC&S, and Mutual Fund Profile Phase I & II services, as detailed below:

**First Trade Date , May 12, 2008:**

Prudential Insurance Company of America / Prudential Trust Company, Inc. No. 6526  
 Networking Symbol: Z5  
 Contact: Alvin Kelly  
 Phone: (973) 716-8980

- The following firm will change their name only, as detailed below:

**Effective First Trade Date , May 13, 2008:**

From: NFB Investment Services Corporation No. 0431  
 To: Capital One Investment Services Corporation No. 0431

- The following firm will begin participation in the Commission Settlement service, as detailed below:

**First Trade Date, May 14, 2008:**

PFPC Distributors, Inc./Blackrock EX US Funds No. 4982

- The following firm will begin participation in the Mutual Fund Profile Phase I service, as detailed below:

**First Trade Date, May 16, 2008:**

Quasar Distributors, LLC/AIP Alternative Strategies Funds No. 5449

- The following firm will begin participation in the ACATS Fund/SERV service, as detailed below:

**First Trade Date, May 16, 2008:**

Morgan Stanley & Co. Incorporated No. 5857

- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Clearing Broker Change</u></b>				
<b><u>Effective First Trade Date, May 13, 2008</u></b>				
From: Davis, Mendel & Regenstein, Inc.	QRCC	0158	DRMI	
To: Davis, Mendel & Regenstein, Inc.	PERS	0443	DRMI	
<b><u>Add First Trade Date, May 14, 2008</u></b>				
Peak Securities Corp.	LGNT	0052	UBUY	
<b><u>Add First Trade Date, May 14, 2008</u></b>				
Sierra Equity Group Ltd.	-	0235	BBII	

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	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Clearing Broker Change</u></b>				
<b><u>Effective First Trade Date, May 14, 2008</u></b>				
From: Gelber Securities, LLC	SILK	0501	GLBY	
To: Gelber Securities, LLC	SHMR	0695	GLBY	
<b><u>Delete Last Trade Date, May 12, 2008</u></b>				
Goldman Sachs Execution & Clearing, L.P.	SILK	0501	GSAA	
Goldman Sachs Execution & Clearing, L.P.	SILK	0501	GSBB	
Goldman Sachs Execution & Clearing, L.P.	SILK	0501	GSCC	
Goldman Sachs Execution & Clearing, L.P.	SILK	0501	GSDD	
Goldman Sachs Execution & Clearing, L.P.	SILK	0501	GSEE	
<b><u>Add First Trade Date, May 12, 2008</u></b>				
Dalmore Group LLC	NACI	0297	DALM	
<b><u>Add First Trade Date, May 15, 2008</u></b>				
MVP Financial, LLC	PFSI	0234	MVFN	
Westminster Securities Corporation	QRCC	0158	WSWW	
<b><u>Add First Trade Date, May 16, 2008</u></b>				
Elevation, LLC	SALI	0750	ELEV	
<b><u>Add First Trade Date, May 19, 2008</u></b>				
International Capital Markets, Inc.	PFSI	0234	CNCR	

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<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

**Add First Trade Date, May 13, 2008**

Zecco Trading, Inc.

PFSI	0234	ZECO	
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If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Melanie Maingot at (813) 470-1225, or e-mail NSCCAA@DTCC.com