

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6623
P&S#: 6193
DATE: May 23, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- The following firms will retire from all services, as detailed below:

Last Trade Date, May 20, 2008:

Stephens Inc./MasterWork Funds No. 6555

Last Trade Date, May 21, 2008:

MH Elite Portfolio of Funds, Inc. No. 4837

Last Trade Date, May 22, 2008:

Countrywide Securities Corp / BONY No. 6023
Municipal Alpha Identifier CSEC

- The following firm will no longer participate in the Trade Recording, Trade Comparison, Fund/SERV, Networking, ACATS Fund/SERV, and Commission Settlement services, as detailed below:

Last Trade Date, May 22, 2008:

Broadpoint Capital, Inc. No. 0312
Listed Alpha Identifier: FAC
OTC / Municipal Alpha Identifier: BPSG
Networking Symbol: FA

- The following firm will begin participation in the ACATS Fund/SERV service, as detailed below:

First Trade Date, May 19, 2008:

PFPC Distributors, Inc./Blackrock EX US Funds No. 4982

- The following firm will begin participation in the Networking service, as detailed below:

First Trade Date, May 20, 2008:

National Security Life & Annuity Co. No. 4656

Networking Symbol: ZM

Contact: Todd Brockman

Phone: (513) 794-6971

- An additional number has been assigned to SEI Investments Distribution Company/SEI Institutional (#5717) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC&S, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

First Trade Date, May 21, 2008:

SEI Investments Distribution Co./MIP/BGI Funds No. 6555

Networking Symbol: 4D

Contact: Kathleen Hester

Phone: (617) 937-3222

- An additional number has been assigned to State Street Bank & Trust Co./SPDRS (#2950) for the purpose of utilizing the Fund/SERV and Networking services, as detailed below:

First Trade Date, May 23, 2008:

SSB & T/JP Morgan Retirement Plan Services/Sun Microsystems No. 5102

Networking Symbol: 10

Contact: Kelly Dinsmore

Phone: (781) 382-6572

- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC&S, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

First Trade Date, May 27, 2008:

Quasar Distributors, LLC/ Winslow Green Funds No. 6687

Networking Symbol: YI

Contact: Casey Sauer

Phone: (414) 347-5766

- An additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC&S, and Mutual Fund Profile Phase I services, as detailed below:

First Trade Date, June 2, 2008:

Foreside Fund Services, LLC/Brown Advisory Funds	No. 5437
Networking Symbol:	1L
Contact: Nanette Chern	
Phone: (207) 553-7111	

- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, May 19, 2008</u> Evolution Financial Technologies, LLC	PFSI	0234	EVOF	
<u>Delete Last Trade Date, May 23, 2008</u> Westor Capital Group, Inc.	PFSI	0234	WESX	
<u>Add First Trade Date, May 21, 2008</u> Hill Thompson Magid & Co Inc.	PERS	0443	HILF	
<u>Add First Trade Date, May 23, 2008</u> Broadpoint Capital, Inc.	PERS	0443	BPSG	

- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change</u> <u>Effective First Trade Date, May 22, 2008</u> From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	PXCO	0671	PXCJ	
To: Merrill Lynch, Pierce, Fenner & Smith Incorporated	PRST	0551	PXCJ	

Delete Last Trade Date, May 19, 2008

Viewpoint Securities, LLC	BSSC	0352	VWPT
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Delete Last Trade Date, May 23, 2008

First Global Securities Inc.	PFSI	0234	FGSS
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Add First Trade Date, May 21, 2008

Wedbush Morgan Securities Inc.	GNDT	0118	GMSK
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OM Securities, LLC	PFSI	0234	OMSL
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Add First Trade Date, May 23, 2008

Penson Financial Services, Inc.	PFSI	0234	PFCE
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Archey & Co. LLC	PFSI	0234	ACCO
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Add First Trade Date, June 4, 2008

Isaak Bond Investment, Inc.	SWST	0279	IAAK
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- Please update the NSCC Directory dated April 4, 2008 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change

Effective First Trade Date, June 4, 2008

From: Isaak Bond Investment, Inc.	PFSI	0234	ISAAK
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To: Isaak Bond Investment, Inc.	SWST	0279	ISAAK
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Add First Trade Date, May 20, 2008

Hunter Securities Corp.	PERS	0443	STLZ
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The Concord Equity Group, LLC	NFSC	0226	TCEX
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If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Melanie Maingot at (813) 470-1225, or e-mail NSCCAA@DTCC.com