

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6627
P&S#: 6197
DATE: May 30, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- The following firm will retire from all services, as detailed below:

Last Trade Date, May 30, 2008:

FFP Securities, Inc

No. 5912

- An additional number has been assigned to First Allied Securities Inc (#0146) for the purpose of utilizing the Insurance Processing Service and Commission Settlement services, as detailed below:

First Trade Date, June 2, 2008:

First Allied Securities Inc / FFP Securities

No. 5912

The following firm will begin participation in the ACATS Fund/SERV service, as detailed below:

First Trade Date, May 29, 2008:

Vision Financial Markets LLC

No. 0595

- The following firm will begin participation in the Mutual Fund Profile Phase I & II service, as detailed below:

First Trade Date, June 2, 2008:

SEI Private Trust Company

No. 2039

- The following firm will begin participation in the Insurance Processing service, as detailed below:

First Trade Date, June 5, 2008:

Smith, Moore & Co.

No. 0494

- The following firm will change their name only, as detailed below:

Effective First Trade Date, June 2, 2008:

From: Russell Fund Distributors, Inc.

No. 5762

To: Russell Financial Services, Inc.

No. 5762

- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, May 27, 2008

Newbridge Securities Corporation

LGNT

0052

NUBG

- Please update the NSCC Directory dated April 4, 2008 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, May 28, 2008

Colby & White LP

NACI

0297

CWLP

Dalmore Group LLC

NACI

0297

DALM

Golden Beneficial Securities

NACI

0297

GBSX

Internet Capital Markets Corporation

NACI

0297

ICMC

Meeting Street Brokerage

NACI

0297

MSBB

Success Trade Securities, Inc.

NACI

0297

VERN

Etech Securities, Inc.

NACI

0297

ETEK

Fastrade, Inc.

NACI

0297

FSTD

Gonow Securities, Inc.

NACI

0297

GNSI

Fifth Street Capital, LLC

NACI

0297

GRCP

Investin Securities Corp.

NACI

0297

IISC

Scottsdale Capital Advisors Corp

NACI

0297

JOHN

Lafise Securities Corporation

NACI

0297

LAFI

Legacy Trading Co., LLC

NACI

0297

LGTD

Mark Stewart Securities, Inc.

NACI

0297

MARK

Max International Broker/Dealer Corp.

NACI

0297

MAXI

Noble Trading.Com, Inc.	NACI	0297	NBLE
Marino Capital Partners	NACI	0297	NTCP
Oceancross Capital, LLC	NACI	0297	OCRS
Advantage Investment Strategies, Inc.	NACI	0297	PAAD
Us Euro Securities, Inc.	NACI	0297	POOL
Success Trade Securities, Inc.	NACI	0297	STJT
J W Richards, Inc.	NACI	0297	TACO
Fd De Leeuw & Associes, Trade Desk America	NACI	0297	TDAM
A.B.Watley Direct, Inc.	NACI	0297	WATL
Talley & Company	NACI	0297	YACM

Delete Last Trade Date, May 29, 2008

Gerbro Securities, Inc.	NFSC	0226	GERO
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Delete Last Trade Date, May 30, 2008

U.S. Financial Investments, Inc.	NFSC	0226	USFI
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Clearing Broker Change

Effective First Trade Date, May 30, 2008

From: Capital Path Securities, LLC	NACI	0297	PATH
To: Capital Path Securities, LLC	LGNT	0052	PATH

Add First Trade Date, May 28, 2008

CIM Securities, LLC	SWST	0279	CIMS
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- Please update the NSCC Directory dated April 4, 2008 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Correction to the Notice dated 5/23/08:

Clearing Broker Change

Effective First Trade Date, June 4, 2008

From: Isaak Bond Investment, Inc.	PFSI	0234	IAAK
To: Isaak Bond Investment, Inc.	SWST	0279	IAAK

Delete Last Trade Date, May 29, 2008

International Business Securities, Inc.	NACI	0297	IBSI
Legend Securities, Inc.	NACI	0297	LDSI

Add First Trade Date, May 30, 2008

Capital Path Securities, LLC

LGNT

0052

PATH

If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Melanie Maingot at (813) 470-1225, or e-mail NSCCAA@DTCC.com