

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6665  
**P&S** 6235  
**DATE:** July 25, 2008  
**TO:** All Participants  
**ATTENTION:** Manager, Purchaser and Sales Department  
Cashier, Fund/SERV Participants, ACATS Participants,  
Municipal Bond Comparison Participants  
**FROM:** Linda Shackleton  
Account Administration  
**SUBJECT:** Changes in the List of Participants

- The following firm will change its name only as detailed below:

**First Trade Date, July 25, 2008:**

From: Berkshire Securities Inc./CDS No. 5047  
To: Manulife Securities Incorporated/CDS No. 5047

- The following firms will retire from all services, as detailed below:

**Last Trade Date, July 24, 2008:**

Royal Bank of Canada-Royal Trust No. 4707

**Last Trade Date, July 28, 2008:**

Citizens Securities Inc. No. 5852

- The following firm will begin participation in the Commission Settlement and Insurance Processing services, as detailed below:

**First Trade Date, August 1, 2008:**

Wunderlich Securities, Inc. No. 0926

- The following firm will begin participation in the Commission Settlement and Insurance Processing services, as detailed below:

**First Trade Date, August 1, 2008:**

Summit Brokerage Services, Inc. No. 5702

- An additional number has been assigned to Banc of America Securities LLC (#0773) for the purpose of utilizing the Fund/SERV, Networking and Envelope Settlement services, as detailed below:

**First Trade Date, July 28, 2008:**

Banc of America Securities LLC/Banc of America Financial Services Inc. No. 2154  
 Networking Code: 0U  
 Contact: Arthur Lee  
 Phone: (646) 773-4052

- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking ACATS Fund/SERV and Commission Settlement services, as detailed below:

**First Trade Date, July 30, 2008:**

Quasar Distributors, LLC/Grubb & Ellis AGA Realty Income Fund No. 5126  
 Networking Code: 0Y  
 Contact: Casey Sauer  
 Phone: (414) 773-4052

- Please update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date July 22, 2008:</u></b> NRP Financial, Inc.	BSSC	0352	OFCC	
<b><u>Delete Last Trade Date July 25, 2008:</u></b> Vision Brokerage Services, LLC	NFSC	0226	VBSL	
<b><u>Add First Trade Date August 4, 2008:</u></b> Associated securities Corp.	YLPL	0075	ASSO	
<b><u>Add First Trade Date September 2, 2008:</u></b> Mutual Services Corporation	YLPL	0075	MLPL	
<b><u>Add First Trade Date September 29, 2008:</u></b> Waterstone Financial Group, Inc.	YLPL	0075	WFGP	

- Please update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date July 22, 2008:</u></b>				
GFI Securities LLC	PERS	0443	GFIG	
<b><u>Delete Last Trade Date July 28, 2008:</u></b>				
Carty and Company, Inc.	NFSC	0226	CART	
<b><u>Clearing Broker Change, Effective First Trade Date, July 28, 2008:</u></b>				
From: ABS Brokerage Services, L.L.C.	PFSI	0234	ARCB	
To: ABS Brokerage Services, L.L.C.	MLCO	0161	ARCB	
From: Pro Securities, L.L.C.	PFSI	0234	PROO	
To: Pro Securities, L.L.C.	MLCO	0161	PROO	
<b><u>Clearing Broker Change and Executing Broker Name Change Effective First Trade Date, July,28, 2008:</u></b>				
From: Morizio Associates, LLC	PFSI	0234	ISEO	
To: ISERoute, LLC	MLCO	0161	ISEO	
<b><u>Clearing Broker Change, Effective First Trade Date, July 29, 2008:</u></b>				
From: Kabrik Trading, LLC	NFSC	0226	KRBK	
To: Kabrik Trading, LLC	AFCO	0284	KRBK	
<b><u>Add First Trade Date, July 28, 2008:</u></b>				
PUMA Capital, LLC	QRCC	0158	PUMA	

- Kindly update the NSCC Directory dated July 3, 2008 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, July 25, 2008:</u></b>				
Union Banc Investment Services, LLC	NFSC	0226	MKTI	
<b><u>Add First Trade Date, July 28, 2008:</u></b>				
ABS Brokerage Services, L.L.C.	MLCO	0161	ARCB	
Pro Securities, L.L.C.	MLCO	0161	PROO	
ISERoute, LLC	MLCO	0161	ISEO	
<b><u>Clearing Broker Change, Effective First Trade Date, July 25, 2008:</u></b>				
From: Carty & Company, Inc.	NFSC	0226	CART	
To: Carty & Company, Inc.	PERS	0443	CART	

If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Paul Du Jat at (813) 470-1221, or e-mail NSCCAA@DTCC.com.