

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6680
P&S 6250
DATE: August 22, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- The following firms will retire from all services, as detailed below:

Last Trade Date, August 15, 2008:

Payson (H.M.) & Co. No. 0449
OTC / Municipal Alpha-Identifier: HMPB

Last Trade Date, August 29, 2008:

Foreside Distribution Services, L.P./Gendex Funds No. 5619

- The following firm will no longer participate in the Mutual Fund Profile Phase II service, as detailed below:

Last Trade Date, August 15, 2008:

Prudential Insurance Company of America/Prudential Retirement No. 6526

- The following firm will no longer participate in the Trade Comparison & Recording, Envelope Settlement Services, and RECAPS services, as detailed below:

Last Trade Date, September 2, 2008:

Allen & Company, LLC No. 0655
OTC / Municipal Alpha-Identifier: ALLN

- Additional numbers have been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services, as detailed below:

First Trade Date, August 25, 2008:

Quasar Distributors, LLC/Genworth VIT Funds No. 5519
 Networking Code: 0Q
 Contact: Casey Sauer
 Phone: (414) 347-5766

First Trade Date, August 29, 2008:

Quasar Distributors, LLC/Schooner Growth & Income Fund No. 4723
 Networking Code: 0H
 Contact: Casey Sauer
 Phone: (414) 347-5766

- Please update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, September 3, 2008

Allen & Company LLC	PERS	0443	ALLN
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- Kindly update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, August 18, 2008:

Brooke Securities, Inc.	BSSC	0352	BKSI
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Add First Trade Date, August 19, 2008

Ballista Securities, LLC	PERS	0443	BALZ
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Add First Trade Date, August 21, 2008

Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLTF
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If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Paul Du Jat at (813) 470-1221, or e-mail NSCCAA@DTCC.com.