

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6685
P&S 6255
DATE: August 29, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- Newedge Financial Inc. merged with and into Newedge USA, LLC. As a result, the following name changes will occur:

Effective First Trade Date, September 2, 2008:

From: Newedge Financial Inc. No. 0446

To: Newedge USA, LLC xNFI No. 0446

Newedge USA, LLC, account number 0718, will assume all open contracts for account number 0446, which are to be cleared or settled through or by National Securities Clearing Corporation.

- The following firm will retire from all services, as detailed below:

Last Trade Date, August 29, 2008:

GSECLP/Heartland Capital No. 3821

Last Trade Date, September 5, 2008:

Wedbush Morgan Securities, Inc./BATS No. 0108

OTC Alpha-Identifier WMBZ

- The following firm will no longer participate in the Municipal Bond Comparison service, as detailed below:

Last Trade Date, August 29, 2008:

Chapdelaine & Co. No. 6984

Municipal Alpha-Identifier CHPP

- The following firms will change their names only as detailed below:

Effective First Trade Date, September 2, 2008:

From: NYLIFE Securities Inc. No. 0368
 To: NYLIFE Securities LLC No. 0368

From: NYLIFE Securities Inc. No. 5539
 To: NYLIFE Securities LLC No. 5539

From: NYLIFE Securities, Inc./Collective Trust No. 5787
 To: NYLIFE Securities LLC/Collective Trust No. 5787

From: Commerce Bank of Kansas City, N.A. No. 2170
 To: Commerce Bank, N.A. No. 2170

- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV service, as detailed below:

First Trade Date, August 29, 2008:

Quasar Distributors, LLC/Redstone Trust Collective Funds No. 5815

- The following firm will begin participation in the Insurance Processing Services and Commission Settlement services, as detailed below:

First Trade Date, September 2, 2008:

VSR Financial Services, Inc No. 2615

- The following firm will begin participation in the Fund/SERV and Networking services, as detailed below:

First Trade Date, September 2, 2008:

Financial West Group, Inc No. 4713
 Networking Symbol: 0B
 Contact: Deannie Wirtjes
 Phone: (805) 497-9222

- The following firm will begin participation in the OTC & Municipal Bond Comparison, ACATS, Envelope Settlement Service, and RECAPS services, as detailed below:

First Trade Date, September 8, 2008:

State Street Global Markets, LLC No. 0189
 OTC / Municipal Alpha-Identifier SSBS

- Please update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, August 26, 2008</u>				
KNBT Securities, Inc.	FSWC	0309	KNBT	
KNBT Securities, Inc.	FSWC	0309	KNBT	
Cabrera Capital Markets, Inc.	FSWC	0309	CABA	
VC Arjent Ltd.	FSWC	0309	VCPI	
Belfinance Securities, Inc.	FSWC	0309	BFSI	

Add First Trade Date, August 29, 2008

Chapdelaine & Co.	PERS	0443	CHPP	
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- Please update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Changes:</u>				
<u>Effective First Trade Date, August 29, 2008</u>				
From: Merrill Lynch, Pierce, Fenner & Smith Incorporated	PRST	0551	PXCJ	
To: Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	PXCJ	
<u>Effective First Trade Date, September 2, 2008</u>				
From: S.J. Levinson & Sons LLC	AFCO	0284	SJLS	
To: S.J. Levinson & Sons LLC	SILK	0501	SJLS	
<u>Effective First Trade Date, September 8, 2008</u>				
From: State Street Global Markets, LLC	QRCC	0158	SSLL	
To: State Street Global Markets, LLC	SSBS	0189	SSLL	
<u>Add First Trade Date, August 25, 2008</u>				
Newedge USA, LLC	PCAP	0608	NDMA	

Add First Trade Date, August 27, 2008

Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLTG
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLTB
Merrill Lynch, Pierce, Fenner & Smith Incorporated	MLCO	0161	MLTC

Add First Trade Date, August 28, 2008

Merrill Lynch, Pierce, Fenner & Smith Incorporated	PRST	0551	TNPN
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If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Paul Du Jat at (813) 470-1221, or e-mail NSCCAA@DTCC.com.