

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6688
P&S 6258
DATE: September 5, 2008
TO: All Participants
ATTENTION: Manager, Purchaser and Sales Department
Cashier, Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
FROM: Linda Shackleton
Account Administration
SUBJECT: Changes in the List of Participants

- The following firm will retire from all services, as detailed below:
Last Trade Date, September 7, 2008:
Forside Distribution Services, L.P./EM Capital Management No. 6668
- The following firm will begin participation in the Commission Settlement service, as detailed below:
First Trade Date, September 5, 2008:
Quasar Distributors, LLC/Selector Management Fund No. 5729
- The following firm will begin participation in the Insurance Processing Service as a Data Services Only member, as detailed below:
First Trade Date, September 8, 2008:
Flexible Plan Investments, Ltd. No. 6819
- An additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, DCC&S, and ACATS Fund/SERV services, as detailed below:
First Trade Date, August 29, 2008:
Northern Lights Distributors, LLC/EM Capital Management No. 6668
Networking Symbol: KG
Contact: Mike Nielsen
Phone: (402) 493-1731 ext. 3

- Please update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Correction to Important Notice dated January 11, 2008:

Add First Trade Date, January 14, 2008

From: Blaylock Robert Van, LLC.

PERS	0443	BVRL
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To: Blaylock Robert Van, LLC.

PERS	0443	BRVL
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Delete Last Trade Date, August 26, 2008

KNBT Securities, Inc.

FSWC	0309	OAKW
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Delete Last Trade Date, August 29, 2008

Punk, Ziegel & Company, LP

NFSC	0226	PUNK
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Delete Last Trade Date, September 2, 2008

Edgetrade.com Inc

SWST	0279	EDGE
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Add First Trade Date, September 8, 2008

First Integrity Capital Partners Corp

SWST	0279	KACI
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Add First Trade Date, October 3, 2008

Cheevers & Company Inc

MLCO	0161	CHBC
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- Please update the NSCC Directory dated July 3, 2008 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change:

Effective First Trade Date, September 5, 2008

From: Terra Nova Financial, LLC

MLCO	0161	TNPR
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To: Terra Nova Financial, LLC

PRST	0551	TNPR
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Add First Trade Date, September 5, 2008

Newedge USA, LLC	PCAP	0608	NEAA
Newedge USA, LLC	PCAP	0608	NEAB
Newedge USA, LLC	PCAP	0608	NMWD
Viewpoint Securities, LLC	PFSI	0234	VWPT

Add First Trade Date, September 8, 2008

Castor Pollux Securities, LLC	JEFF	0019	CAST
Deep ATS, LLC	WEDB	0103	HUGE

If you have any questions regarding this notice, please contact Bill Marcellus at (813) 470-1224, Paul Du Jat at (813) 470-1221, or e-mail NSCCAA@DTCC.com.