

# **IMPORTANT NOTICE**

**National Securities Clearing Corporation**



**A#:** 6711  
**P&S#** 6281  
**Date:** September 24, 2008  
**To:** **ALL PARTICIPANTS**  
**Attention:** MANAGING PARTNER/OFFICER,  
OPERATIONS PARTNER/OFFICER,  
DIRECTOR OF OPERATIONS,  
MANAGER P&S DEPARTMENT  
**From:** Product Management  
**Subject:** **UPDATE** - ACATS Transfers Involving Lehman Brothers, Inc. (NSCC  
Member No. 0074)

NSCC previously announced via Important Notice A#6709 dated September 23, 2008, its plans with the SIPC Trustee to support the movement of customer account transfers with respect to Lehman Brothers Inc. ("Lehman") Account No. 0074. Subsequently, at the request of the SIPC Trustee and Barclays Capital Inc., ("Barclays"), the process detailed in that Important Notice has changed. As a result, member firms will not be receiving the reports described in Important Notice #6709.

Instead, NSCC will issue a subsequent Important Notice shortly when specific details about the account conversion plans between Lehman and Barclays are received from the SIPC Trustee and Barclays. That Important Notice will also provide member firms with details of processing Transfer Initiation requests ("TIFs") to Barclays (NSCC Additional Account Number 0229), through NSCC's ACATS system.

If you have any questions regarding this notice, please contact Bill Kapogiannis at 212-855-5667 or [bkapogiannis@dtcc.com](mailto:bkapogiannis@dtcc.com) or Louis Lepore at (212) 855- 4223 [llepore@dtcc.com](mailto:llepore@dtcc.com).