

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6721
P&S# 6291
Date: October 6, 2008
To: All Participants
Attention: MANAGING PARTNER/OFFICER,
OPERATIONS PARTNER/OFFICER,
DIRECTOR OF OPERATIONS,
MANAGER CUSTOMER ACCOUNT TRANSFER DEPARTMENT,
MANAGER MARGIN DEPARTMENT,
MANAGER SETTLEMENT DEPARTMENT
From: Product Management
Subject: ACATS Transactions With Barclays Capital Inc. #0229

Barclays Capital Inc. (“Barclays”), has instructed National Securities Clearing Corporation (“NSCC”) to age the status for all pending ACATS transfers with Barclays Capital #0229, which have not yet reached settlement stage and which are currently in status 200 (review), 210 (review adjust deliverer), 220 (review error), 230 (review accelerate) and 240 (review adjust receiver accelerate) to status 600 (reject). Accordingly, these transfers will be rejected on Monday, October 6, 2008. These transfer status updates will be reported to Members on their ACATS Position File (AutoRoute #02090718 & 02090724) this evening.

Additionally, Barclays has informed NSCC that they will be rejecting all Transfer Initiation Requests (TIFS) beginning on Monday, October 6, 2008 and until further notice. Barclays will communicate to the industry and NSCC when they will begin honoring transfer requests again.