

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6733
P&S# 6303
Date: November 7, 2008
To: All Participants
Attention: Manager, Purchaser and Sales Department, Cashier,
Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
From: Linda Shackleton
Account Administration
Subject: Changes in the List of Participants

Correction to Important Notice A#6729 (P&S#6299) dated October 31, 2008. for Swiss American Securities, Incorporated, participant #0012 in regards to the Trade Date (see below)

- The following firms will retire from all services, as detailed below:

Last Trade Date, November 4, 2008:

Financial Independence Group, Inc. No. 6822

Last Trade Date, November 12, 2008:

Federated Securities Corp. No. 0253

Edgewood Services, Inc. No. 0256

Quasar Distributors, LLC/Leader Short Term Bond Fund No. 5804

- The following firm will no longer participate in the Trade Comparison & Recording, RECAPS, Envelope Settlement Services, Fund/SERV, Networking, ACATS FundServ, and ACATS IPS as detailed below:

Last Trade Date, November 6, 2008:

Swiss American Securities, Incorporated No. 0012

OTC / Municipal Alpha-Identifier: SWIS

Listed Alpha-Identifier: SAC

Non-Confidential

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- The following firm will no longer participate in the ACATS Service as detailed below:

Last Trade Date, November 13, 2008:

Swiss American Securities, Incorporated

No. 0012

- The following firm will no longer participate in the Trade Comparison and Trade Recording services, as detailed below:

Last Trade Date, November 4, 2008:

Romano Bros. & Co.

No. 8065

OTC Alpha Identifier:

ROMO

- The following firm will no longer participate in the Mutual Fund Profile Phase I service, as detailed below:

Last Trade Date, November 4, 2008:

SunTrust Bank/401K Mutual Funds Settlement

No. 2810

- An additional number has been assigned to T. Rowe Price Investment Services Inc. (#5586) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement and Mutual Fund Profile Phase I & II services, as detailed below:

First Trade Date, November 5, 2008:

T. Rowe Price Investment Services/T. Rowe Price Trust Company

No. 5907

Networking Symbol:

5T

Contact: Jefferson Readmond

Phone: (410) 345-7336

- An additional number has been assigned to Foreside Distribution Services, LP (#4967) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, Mutual Fund Profile Phase I & II and ACATS Fund/SERV services, as detailed below:

First Trade Date, November 13, 2008:

Foreside Distribution Services, LP/Leader Short Ten Bond Fund

No. 5804

Networking Symbol:

DU

Contact: Susan Hansen

Phone: (631) 470-2645

- Please update the NSCC Directory dated October 3, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, November 3, 2008</u>				
Goldsmith & Harris and Company	BSSC	0352	GOHS	
<u>Delete Last Trade Date, November 4, 2008</u>				
Guzman & Co	SILK	0501	GMAN	
<u>Delete Last Trade Date, November 6, 2008</u>				
UST Securities Corp.	NFSC	0226	USSP	
<u>Delete Last Trade Date, November 7, 2008</u>				
Foster Securities	NFSC	0226	FOST	
<u>Add First Trade Date, November 5, 2008</u>				
Romano Brothers and Company	MSRO	0727	ROMO	

- Please update the NSCC Directory dated October 3, 2008 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, November 4, 2008</u>				
Brut, LLC	BSSC	0352	BRRT	
<u>Delete Last Trade Date, November 6, 2008</u>				
Direct Trading Institutional, Inc.	NFSC	0226	DDTX	
<u>Delete Last Trade Date, November 7, 2008</u>				
Banc One Securities Corporation	NFSC	0226	BANC	
<u>Add First Trade Date, November 6, 2008</u>				
Wedbush Morgan Securities Inc.	WEDB	0103	WEEDV	
<u>Add First Trade Date, November 6, 2008</u>				
Merrill Lynch Professional Clearing Corp.	PRST	0551	PRET	

