

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6741
P&S# 6311
Date: November 14, 2008
To: All Participants
Attention: Manager, Purchaser and Sales Department, Cashier,
Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants
From: Linda Shackleton
Account Administration
Subject: Changes in the List of Participants

- The following firms will retire from all services, as detailed below:

Last Trade Date, November 11, 2008:

Quasar Distributors, LLC/Seascope Funds	No.	6528
Swiss American Securities Incorporated	No.	0012

Last Trade Date, November 14, 2008:

American Skandia Marketing Inc.	No.	6610
BGC Financial, L.P./BGC Securities	No.	0464

- The following firm will no longer participate in the Trade Comparison & Recording, RECAPS, Envelope Settlement Services, as detailed below:

Last Trade Date, November 10, 2008:

BGC Financial, L.P./BGC Securities	No.	0464
OTC Alpha-Identifier:		ESBI

Non-Confidential

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- The following firm will no longer participate in the Trade Comparison & Recording, as detailed below:

Last Trade Date, November 14, 2008:

Frederick S. Martin & Co.-2/SCCP	No. 8162
Cassella Vendette Group/6/SCCP	No. 8184
Cassella Vendette Group/M/SCCP	No. 8188
McNamara Specialists, LLC 797-3 SCCP	No. 8210
Birchwood Securities Corp/4	No. 8223
McNamara Specialists, LLC 797-5 SCCP	No. 8227
Aubrey & Company/615-M/ SCCP	No. 8247
Aubrey & Company/615-2/ SCCP	No. 8248
Aubrey & Company/615-3/ SCCP	No. 8249
Aubrey & Company/615-4/ SCCP	No. 8250
Aubrey & Company/615-5/ SCCP	No. 8251
Aubrey & Company/615-6/ SCCP	No. 8252
Aubrey & Company/615-7/ SCCP	No. 8253
Aubrey & Company/615-9/ SCCP	No. 8254
McNamara Trading Co-118-2/ SCCP	No. 8257
McNamara Specialists, LLC 797-2/ SCCP	No. 8290
McNamara Specialists, LLC 797-6 SCCP	No. 8296
Birchwood Securities Corp/3 SCCP	No. 8299
McNamara Specialists, LLC 797-7/ SCCP	No. 8303
McNamara Specialists, LLC 797-9/ SCCP	No. 8304
TPM Corp./S/ SCCP	No. 8345
McNamara Trading Co./260/M	No. 8385
McNamara Trading Co./260/2	No. 8386
McNamara Trading Co./260/3	No. 8387
McNamara Trading Co./260/4	No. 8388
McNamara Trading Co./260/5	No. 8389
McNamara Trading Co./260/6	No. 8390
McNamara Trading Co./260/7	No. 8391
McNamara Trading Co./260/9	No. 8392

- The following firm will no longer participate in the ACATS Service as detailed below:

Last Trade Date, December 04, 2008:

GSECLP/FOC McNamara Trading Co./260/9	No. 0690
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- The following firms will change their names only as detailed below

First Trade Date, November 17, 2008:

From: Bank of America, N.A./Safekeeping No. 2236
 To: Bank of America, National Association No. 2236

From: Bank of America N. A. No. 0955
 To: Bank of America, N.A./ GWIM Trust Operations No. 0955

- An additional number has been assigned to Prudential Investment Management Services LLC (#5805) for the purpose of utilizing the Fund/SERV, Networking, and Mutual Fund Profile Phase I & II services, as detailed below:

First Trade Date, November 17, 2008

Prudential Investment Management Services, / American Skandia Marketing, Inc. No. 6610
 Networking Symbol: 3W
 Contact: Jeffrey Pasewark
 Phone: (973) 367-3735

- The following firm will begin participation in the NYSE Listed Comparison service, as detailed below:

First Trade Date, November 14, 2008:

GMP Securities L.P. No. 5016
 OTC Alpha-Identifier: GMPS

- The following firm will begin participation in the OTC Comparison service, as detailed below:

First Trade Date, November 17, 2008:

Rosenblatt Securities, Inc. No. 0233
 Listed Alpha-Identifier: RSI

- The following Data Services Only member will begin participation in the Insurance processing service, as detailed below:

First Trade Date, November 17, 2008:

Tandem Securities, Inc. No 7551

Please update the NSCC Directory dated October 3, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, November 13, 2008</u> C.E. Unterberg, Towbin, LLC	NFSC	0226	CEUT	
<u>Add First Trade Date, November 13, 2008</u> Merril Lynch, Pierce, Fenner & Smith Incorporated	TNTO	0364	TNFG	
<u>Add First Trade Date, November 17, 2008</u> New Castle Financial Group, Inc	LGNT	0052	NCFS	

- Please update the NSCC Directory dated October 3, 2008 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change,</u> <u>Effective First Trade Date, November 14, 2008</u> From: Penson Financial Services, Inc. To : Penson Financial Services, Inc.	PFSI	0234	PFSL	
	SILK	0501	PFSL	
<u>Delete Last Trade Date, November 12, 2008</u> The Shermano Group, Inc	NFSC	0226	SHEM	
<u>Delete Last Trade Date, November 13, 2008</u> Empire Financial Group, Inc.	PFSI	0234	EFGI	

Add First Trade Date, November 15, 2008

Newedge USA, LLC	PCAP	0608	FCAZ
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Add First Trade Date, November 17, 2008

Wedbush Morgan Securities, Inc	WEDB	0103	WMSB
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- Please update the NSCC Directory dated October 3, 2008 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change,

Effective First Trade Date, November 14, 2008

From: FINANCIAL ADVISERS OF AMERICA, LLC	MLCO	0161	BOYS
To: FINANCIAL ADVISERS OF AMERICA, LLC	NFSC	0226	BOYS

If you have any questions regarding this notice, please contact Paul Du Jat at (813) 470-1221 or Donald Schwartz (813) 470-1223 or e-mail NSCCAA@DTCC.com.

