

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6752

P&S# 6322

Date: December 05, 2008

To: All Participants

Attention: Manager, Purchaser and Sales Department, Cashier,
Fund/SERV Participants, ACATS Participants,
Municipal Bond Comparison Participants

From: Linda Shackleton
Account Administration

Subject: Changes in the List of Participants

- The following firms will retire from all services, as detailed below:

Last Trade Date, December 02, 2008:

State Street Bank & Trust Co/Valic. No. 0538

Last Trade Date, December 02, 2008:

State Street Bank & trust Co/Valic Santa Fe. No. 0628

Last Trade Date, December 08, 2008:

Forside Dist Services, LP Capital One Funds. No. 4961

- The following firm will no longer participate in the ACATS service, as detailed below:

Last Trade Date, December 01, 2008:

Citadel Trading Group, L.L.C. No. 0775

Non-Confidential

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- The following firm will change its name only as detailed below:

First Trade Date, December 5, 2008:

From: Northern Lights Distributors, LLC / New River Funds No. 6604
 To: Foreside Distribution Services, L.P. / SouthernSun Funds No. 6604

- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking Commission Settlement, and DCC&S services as detailed below:

First Trade Date, December 3, 2008:

Quasar Distributors, LLC / Structured Investment Management No. 5492
 Networking Code UH
 Contact: Casey Sauer
 Phone: (414) 347- 5766

- An additional number has been assigned to Northern Lights Distributors, LLC.(#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, ACATS Fund/SERV, and DCC&S services, as detailed below:

First Trade Date, December 03, 2008:

Northern Lights Distributors, LLC/ Wade Core Destination Fund No. 5939
 Networking Code: 5D
 Contact: Mike Nielsen
 Phone: (402) 493-1731 ext. 3

- The following firm will begin participation in the Fund/SERV, Networking, and Mutual Fund Profile Phase I & II services, as detailed below:

First Trade Date, December 8, 2008:

ING National Trust Company. No. 2360
 Networking Code: 5K
 Contact: Mike Kaminski
 Phone: (860) 580-2495

- The following firm will begin participation in the Mutual Fund Profile Phase I & II service, as detailed below:

First Trade Date, December 09, 2008:

Forside Fund Services, LLC/ The Japan Fund Inc. No. 5426

Please update the NSCC Directory dated October 3, 2008 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, December 01, 2008</u>				
Centurion Securities Corporation	DAIN	0235	CTDL	
<u>Delete Last Trade Date, December 09, 2008</u>				
Citadel Derivatives Group LLC	PRDG	0395	PRDG	0395
<u>Add First Trade Date, December 02, 2008</u>				
M F Global Securities Inc.	MANS	0120	MFDV	
M F Global Securities Inc.	MANS	0120	MFEZ	
M F Global Securities Inc.	MANS	0120	MFKE	
<u>Add First Trade Date, December 05, 2008</u>				
Shinko Securities(U.S.A.) Inc	MLCO	0161	SHUS	
Black Rose Equity Management LLC	FSWC	0309	BREM	
<u>Add First Trade Date, December 10, 2008</u>				
Citadel Derivatives Group LLC	CDRG	0395	PRDG	
Citadel Derivatives Group LLC	CDRG	0395	CDRG	0395

- Please update the NSCC Directory dated October 3, 2008 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	
	<u>Identifiers</u>		<u>Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change

Effective First Trade Date, December 15, 2008

From: S.J. Levinson & Sons LLC	AFCO	0284	SJLS
To: S.J. Levinson & Sons LLC	SILK	0501	SJLS

Add First Trade Date, December 04, 2008

OTA, LLC	PRST	0551	OTAS
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Add First Trade Date, December 05, 2008

Obsidian Financial Group LLC	PFSI	0234	OFGL
Wedbush Morgan Securities Inc.	WEDB	0103	WMSW
Citadel Derivatives Group LLC	CITG	0775	CTDL

Add First Trade Date, December 08, 2008

OTR Global Trading LLC	PRST	0551	OTRT
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- Please update the NSCC Directory dated October 3, 2008 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change,

Effective First Trade Date, December 08, 2008

From: Capmark Securities Inc.	CITG	6020	NEWM
To: Capmark Securities Inc.	PERS	0443	NEWM

Add First Trade Date, December 03, 2008

Second Market, Inc	NSFC	0226	GRDK
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If you have any questions regarding this notice, please contact Paul Du Jat at (813) 470-1221 or Donald Schwartz (813) 470-1223 or e-mail NSCCAA@DTCC.com.