

# IMPORTANT NOTICE

**DTCC Deriv/SERV LLC**



**#:** DS # 871  
**Date:** 23rd November, 2009  
**To:** Distribution  
**From:** Deriv/SERV Product Management  
**Subject:** DTCC Deriv/SERV Rename Event Processing for Knight Inc. and Kinder Morgan, Inc.

Please be advised that, as set forth in Section X of the Trade Warehouse Appendix to the Deriv/SERV Operating Procedures, it has been requested that Deriv/SERV create a Rename Event for Knight Inc. This request has been made by the ISDA Credit Derivatives Operations Working Group.

Following are the pertinent details of this rename event:

Successor Event Name:	KNIGHT NOV09
Old Reference Entity:	Knight Inc.
New Reference Entity:	Kinder Morgan, Inc.
New RED code:	4DD9BLAA4
New Reference Entity ISIN:	US494553AB60
Applicable Percentage	100%
Event Processing Start Date:	November 30 <sup>th</sup> , 2009
Event Processing End Date:	December 2 <sup>nd</sup> , 2009
Adherence Method:	Auto Auto adherence

Additionally, in response to a request made by the ISDA Credit Derivatives Operations Working Group Deriv/SERV has also created a Rename Event for Kinder Morgan, Inc. This event have been set up to facilitate updates to affected transactions

Successor Event Name:	KINDER NOV09
New RED code:	4DD9BLAA4
New Reference Entity ISIN:	US494553AB60
Applicable Percentage	100%
Event Processing Start Date:	November 30 <sup>th</sup> , 2009
Event Processing End Date:	December 2 <sup>nd</sup> , 2009
Adherence Method:	Auto Auto Adherence

In accordance with the decision by the ISDA Credit Derivatives Operations Working Group, these rename event are set for Auto-Auto adherence which will mean that the Warehouse will automatically adhere all transactions with the relevant reference entity name, regardless of the reference obligation used on that transaction.

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

If firms do not want transactions to be renamed in accordance with this event, firms must un-adhere those transactions. This un-adherence should be completed prior to November 30th, 2009, the Event Processing Start Date of this rename event. The Warehouse will only process Records that remain adhered by both parties. Additional information can be found in the Product Training and Support section of the Warehouse in a Self Study Guide: Practical Guide – Successor Events Processing.

Any questions or comments regarding this notice or DTCC Deriv/SERV in general should be directed to your Client Account representative.