

IMPORTANT NOTICE

The Depository Trust & Clearing Corporation



#: Z0024
Date: 09/22/2009
To: All DTCC Customers
Attention:
From: William Aimetti, Chief Operating Officer
Subject: DTCC's Business Continuity Planning – H1N1 Pandemic

As you are aware, the World Health Organization and Centers for Disease Control and Prevention expect the continuing growth of the H1N1 (swine flu) pandemic throughout the U.S. this autumn. While the current strain of swine flu appears to be generally mild, based on the experience of countries in the Southern hemisphere, DTCC and its Northern hemisphere customers could face significant absenteeism during the spread of swine flu in the coming months.

DTCC formed a Pandemic Flu Task Force over three years ago, which has focused its attention on the swine flu since it first emerged last spring. The task force and business line management have been implementing plans to ensure essential services are maintained should absenteeism rise. Those plans include advanced "social distancing" (which would allow employees to work from home or alternate facilities), cross-training of staff, improved employee education about disease-prevention techniques, enhanced sanitation and cleaning of facilities, and many other elements.

While DTCC's plans are comprehensive and coordinated across all locations and departments, the responses at our different facilities will depend partially on local circumstances, including the local flu incidence rates and the local public health response. In the unlikely event that this need was to arise, we have also prepared a plan to curtail or eliminate certain support services in order to maintain essential services. Should the need to institute such measures occur, we will provide advance notice to our customers.

We recognize that many of our customers are also working on contingency plans to deal with the swine flu. DTCC will keep you informed of our progress as we move through the fall and winter, and we are available to discuss any questions your firm may have regarding our plans.

¹In response to customer feedback, DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more about this new service and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

To remove your name from the former system of email notifications, send a message to unsubscribe@dtcc.com.