

# **IMPORTANT NOTICE**

**National Securities Clearing Corporation**



**A#:** 6770  
**P&S#** 6340  
**Date:** January 7, 2009  
**To:** **All Participants**  
**Attention:** **Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants**  
**From:** **Enterprise Service Center**  
**Subject:** **Martin Luther King, Jr. Day**

National Securities Clearing Corporation, the New York, Alternext and NASDAQ Stock Exchanges, the NASD System and all New York Banks will be closed on Monday, January 19, 2009 in observance of Martin Luther King Jr. Day. The following changes in NSCC's operations and schedules will be in effect:

## **COMPARISON SYSTEMS**

Trade input for OTC equities for trade date January 16, 2009 will be accepted no later than 12:00 Midnight on January 16, 2009. Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date January 16, 2009 will be accepted no later than 8:00pm on January 16, 2009.

## **CNS CONTINUOUS NET SETTLEMENT**

Seg. Exemptions and Priority Requests for settlement Tuesday, January 20, 2009 will be accepted on Friday evening, January 16, 2009.

## **CENTRAL DELIVERY AND SETTLEMENT**

No Delivery or Settlement Operations will be provided on Monday, January 19, 2009.

## **INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Friday, January 16, 2009 for settlement Tuesday, January 20, 2009.

## **STOCK BORROW PROGRAM**

Stock Borrow availability information for the Night Cycle will be accepted on Friday evening, January 16, 2009 for settlement Tuesday, January 20, 2009.

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

The Daytime Stock Borrow for settlement Tuesday, January 20, 2009 will be accepted on Tuesday, January 20, 2009.

**ACAT - AUTOMATED CUSTOMER ACCOUNT TRANSFER**

No ACAT activity will be accepted on Monday, January 19, 2009.

**ACAT - FUND/SERV INTERFACE**

No ACAT - FUND/SERV Interface activity will be accepted on Monday, January 19, 2009.

**ACAT - COST BASIS**

No COST BASIS activity will be accepted on Monday, January 19, 2009.

**ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Monday, January 19, 2009.

**MUTUAL FUND COMMISSION SETTLEMENT**

No Mutual Fund Commission Settlement activity will be accepted on Monday, January 19, 2009

**FUND/SERV AND NETWORKING**

No Fund/SERV or Networking activity will be accepted on Monday, January 19, 2009.

**INSURANCE PROCESSING**

IPS files received on Monday, January 19, 2009 will be processed. **POV files will be transmitted on Monday, January 19, 2009** (refer to NSCC Important Notice A#6648, dated June 30, 2008). Subsequent output regarding all other files will be produced and distributed on Tuesday, January 20, 2009.

Any Questions regarding this Important Notice can be directed to NSCC Participant Services at (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti  
Manager  
Enterprise Service Center