

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6771
P&S# 6341
Date: 01/09/2009
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, January 6, 2009:

Foreside Distribution Services, L.P/1st Source Monogram Funds No.4867

Last Trade Date, January 12, 2009:

ALPS Distributors, Inc/Drake Funds No.5682

- The following firm will no longer participate in the Networking service, as detailed below:

Last Trade Date, January 7, 2009:

Northwestern Mutual Investment Services, LLC No.0171

- The following firm will begin participation in the Envelope Settlement Service, as detailed below:

First Trade Date, January 8, 2009:

MF Global Inc./Fixed Income No. 0120

- The following firm will begin participation in the Corporate Bond Comparison System, ACATS, Recaps, and Envelope Settlement Services as detailed below:

First Trade Date, January 16, 2009:

TheMuniCenter, L.L.C.

No. 0582

Contact: Julia Deptula

Phone: 646-375-1138

- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, January 12, 2009

MF Global Securities Inc.	MANS	0120	MFFC
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- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change

Effective First Trade Date, January 6, 2009

From: Summer Street Research Partners	0501	SILK	SUMM
To: Summer Street Research Partners	0443	PERS	SUMM

From: Prebon Financial Products Inc.	0443	PERS	PFPI
To: Prebon Financial Products Inc.	0651	LYON	PFPI

From: Linkbrokers Derivatives Corporation	0443	PERS	LINQ
To: Linkbrokers Derivatives Corporation	0651	LYON	LINQ

Clearing Broker Change

Effective First Trade Date, January 21, 2009

From: Success Trade Securities, Inc.	0234	PFSI	STSS
To: Success Trade Securities, Inc.	0052	LGNT	STSS

Add First Trade Date January 7, 2009

Madison Capital Markets, Inc. 0049 BNPS EQUI

Add First Trade Date January 8, 2009

Fat Securities, LLC 0695 SHMR FATX
Orion Trading, LLC 0234 PFSI OREO
Merrill Lynch, Pierce, Fenner & Smith, Inc. 0161 MLCO MLTA

Add First Trade Date January 9, 2009

Shoreline Trading Group LLC 0501 SILK SHTV

Add First Trade Date January 12, 2009

Penson Financial Services, Inc. 0234 PFSI PFAD
Merrill Lynch, Pierce, Fenner & Smith, Inc. 0161 MLCO MLTI
Merrill Lynch, Pierce, Fenner & Smith, Inc. 0161 MLCO MLTJ
Merrill Lynch, Pierce, Fenner & Smith, Inc. 0161 MLCO MLTK
Merrill Lynch, Pierce, Fenner & Smith, Inc. 0161 MLCO MLTL
Merrill Lynch, Pierce, Fenner & Smith, Inc. 0161 MLCO MLTO

- Please update the NSCC Directory dated January 2, 2009 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, January 07, 2009

Deway Financial Network, LLC PERS 0443 DWFN

If you have any questions regarding this notice, please contact Paul Du Jat at (813) 470-1221, Donald Schwartz (813) 470-1223 or e-mail NSCCAA@DTCC.com