

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6778
P&S# 6348
Date: 01/23/2009
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, January 20, 2009:

American Stock Transfer & Trust Company. No. 0068

- The following firm will retire from all services, as detailed below:

Last Trade Date, January 22, 2009:

The Enterprise Fund Distributors, Inc. No. 5584

- The following firm will change their name only, as detailed below:

Effective First Trade Date, January 20, 2009:

From: Unified Financial Securities, Inc./Chinook Funds No. 5295

To: Unified Financial Securities, Inc./Jones Villlata Opportunity Fund No. 5295

- An additional number has been assigned to Wilmington Trust Company (#2215) for the purpose of utilizing the Fund/SERV, Networking, SDR, Commission Settlement, Mutual Fund Profile Phase I & II services, as detailed below:

Effective First Trade Date, January 21, 2009:

Wilmington Trust Company/Wilmington Trust Retirement and Institutional Services Company

Contact: Margaret E Dedoba
Phone: 302-636-5179

No. 0068

- The following firm will begin participation in OTC & Municipal Comparison service, as detailed below:

First Trade Date, January 26, 2009:

TheMuniCenter, L.L.C.

No. 0582

OTC & Municipal Comparison Symbol

TMCC

Contact: Julia Deptula

Phone: (646)375-1138

- The following firm will begin participation in the Fund/SERV, Networking, Commission Settlement, SDR and Mutual Fund Profile I & II as detailed below:

First Trade Date, February 2, 2009:

Allianz Global Investors Distributors LLC/Oklahoma Dream 529

No. 5921

Networking Symbol:

6S

Contact: Steve Howell

Phone: (212)739-4095

- The following firm will begin participation in the Fund/ SERV service, as detailed below:

First Trade Date, February 2, 2009:

Robinson & Lukens, Inc.

No. 7607

- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete First Trade Date, January 21, 2009</u> Interocean Securities LLC	BSSC	0352	IOSC	
<u>Add First Trade Date, January 20, 2009</u> Sterne Agee & Leach, Inc.	PERS	0443	SALC	

If you have any questions regarding this notice, please contact Paul Du Jat at (813) 470-1221, Donald Schwartz (813) 470-1223 or e-mail NSCCAA@DTCC.com

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Executing Broker Name Change</u>				
<u>Effective First Trade Date, January 21, 2009</u>				
From : Tradetrek Securities, LLC	BSSC	0352	TREK	
To: Ramirez Tradetrek Securities, LLC	BSSC	0352	TREK	
<u>Add First Trade Date January 20, 2009</u>				
Wedbush Morgan Securities	WEDB	0103	WMSR	
<u>Add First Trade Date January 21, 2009</u>				
Penson Financial Services, Inc.	PFSI	0234	PFAF	
<u>Add First Trade Date January 26, 2009</u>				
Electronic Brokerage Systems, LLC/Shadow	EBSC	0393	EBSC	0393
<u>Delete First Trade Date, January 23, 2009</u>				
First Wall Street Corp.	WEDB	0103	FWST	
<u>Add First Trade Date January 29, 2009</u>				
Farina & Associates, Inc.	AFCO	0284	FARJ	
Farina & Associates, Inc.	AFCO	0284	FARC	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete First Trade Date, January 22, 2009

Success Trade Securities

PFSI 0234 STSS

Add First Trade Date, January 26, 2009

EDHJ Brokerage, LLC.

NFSC 0226 ACSL

If you have any questions regarding this notice, please contact Paul Du Jat at (813) 470-1221, Donald Schwartz (813) 470-1223 or e-mail NSCCAA@DTCC.com