

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6781
P&S# 6351
Date: 01/30/2009
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, January 27, 2009:

Citadel Trading Group, L.L.C.

No. 0775

Citadel Derivatives Group LLC. (#0395) will assume all open contracts for Citadel Trading Group, L.L.C. (#0775), which is to be cleared or settled through or by National Securities Clearing Corporation.

- The following firm will retire from all services, as detailed below:

Last Trade Date, January 28, 2009:

Merrill Lynch Professional Clearing Corp./Chicago Equity Broker

No. 0576

- The following firm will retire from all services, as detailed below:

Last Trade Date, February 02, 2009:

Romano Bros. & Co.

No. 8065

- The following firm will begin participation in the, ACATS, and ACATS Fund/SERV services, as detailed below:

First Trade Date, January 26, 2009:

Driehaus Securities LLC No. 6642

- An additional number has been assigned to Frontier Trust Company (#2563) for the purpose of utilizing the Commission Settlement service, as detailed below:

First Trade Date, February 02, 2009:

Frontier Trust Company/PJ Robb Variable Corp No. 6531

- An additional number has been assigned to Northern Lights Distributors, LLC. (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services, as detailed below:

First Trade Date, February 02, 2009:

Northern Lights Distributors, LLC / Toews Hedged Emerging Markets Fund No. 5906

Networking Symbol: 8Y

Contact: Mike Neilsen

Phone: (402) 493-1731 ext. 3

- An additional number has been assigned to Pacific Life Insurance Company (#4532) for the purpose of utilizing the Insurance Processing services, as detailed below:

First Trade Date, February 02, 2009:

Pacific Life Insurance Company/Life Division No. 6545

- An additional number has been assigned to UMB Distribution Services, LLC/UMB Scout Funds (#4854) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, ACATS Fund/SERV, and Mutual Fund Profile Phase I services, as detailed below:

First Trade Date, February 02, 2009:

UMB Distribution Services LLC/ Comerica Collective Investment Funds No. 5943

Networking Symbol: 0P

Contact: Darren Siverling

Phone: (414) 299-2160

- The following firm will begin participation in the Commission Settlement service, as detailed below:

First Trade Date, January 30, 2009:

State Street Global Markets, LLC/IBT

No. 4946

- The following firm will begin participation in the ACATS service, as detailed below:

First Trade Date, February 04, 2009:

Legg Mason Investor Services, LLC / LM Partners Funds

No. 5673

- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change</u>				
<u>Effective First Trade Date, February 02, 2009</u>				
From : Pacific American Securities, LLC	PERS	0443	PAMS	
To: Pacific American Securities, LLC	CLRV	0702	PAMS	
<u>Delete First Trade Date, January 23, 2009</u>				
Greene (David J.) & Co.	BSSC	0352	GRLN	
<u>Delete First Trade Date, January 27, 2009</u>				
Citadel Trading Group, LLC	CITG	0775	CITG	0775
<u>Delete Last Trade Date, January 29, 2009</u>				
GunnAllen Financial, Inc	PERS	0443	GNLN	

- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date January 27, 2009</u>				
Citadel Derivatives Group LLC	CITG	0775	CTDL	

Add First Trade Date January 29, 2009

Fortis Clearing Americas L.L.C.

SHMR 0695 PFAG

- Please update the NSCC Directory dated January 2, 2009 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, February 04, 2009

BTIG, LLC

PERS 0443 BTIS

If you have any questions regarding this notice, please contact Paul Du Jat at (813) 470-1221, Donald Schwartz (813) 470-1223 or e-mail NSCCAA@DTCC.com