

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6793
P&S# 6363
Date: 02/20/2009
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services as detailed below:

Last Trade Date, February 18, 2009:

Chase Life & Annuity Company	No.	4628
Northern Lights Distributors LLC/Gaming and Casino Fund	No.	5460
Unified Financial Securities, Inc. /UFSI Green Century Funds	No.	6676

Last Trade Date, February 19, 2009:

Chapdelaine & Co.	No.	6984
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Last Trade Date, February 24, 2009:

Forside Distribution Services, LP/RMR Fund Series Trust	No	5621
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- The following firm will change their names only, as detailed below:

Effective First Trade Date, March 2, 2009:

From: AIG Financial Advisors, Inc.	No.	0144
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To: Sagepoint Financial, INC.	No.	0144
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From: AIG Financial Advisors, INC. / FA1	No.	0182
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To: Sagepoint Financial, INC. / FA1	No.	0182
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From: AIG Financial Advisors, INC. / FAB No. 0522
To: Sagepoint Financial, INC. / FAB No. 0522

From: AIG Financial Advisors, INC. / FA2 No. 0556
To: Sagepoint Financial, INC. / FA2 No. 0556

- The following firm will begin participation in the Mutual Fund Profile Phase I , as detailed below:

First Trade Date, February 18, 2009:

Trust Company of America No. 5981

- An additional number has been assigned to UMB Distribution Services LLC/UMB Scout Funds (#4854) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

First Trade Date, February 19, 2009:

UMB Distribution Services, LLC/Green Century Funds No. 6676

Networking Symbol: 01

Contact: Craig Glenn

Phone: (317) 917-7000 ext. 7343

- The following firm will begin participation in the NYSE Listed service, as detailed below:

First Trade Date, February 20, 2009:

Terra Nova Financial, LLC No. 0364

NYSE Alpha Identifier: TN

- The following firm will begin participation in the OTC Comparison service, as detailed below:

First Trade Date, February 20, 2009:

Electronic Transaction Clearing, Inc. No. 0873

OTC Alpha Identifier: ETCC

- The following firm will begin participation in the Mutual Fund Profile Phase I & II, as detailed below:

First Trade Date, February 23, 2009:

UMB Distribution Services, LLC /Grand DS/Investment Managers Series Trust No. 4985

- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, February 17, 2009</u> AZROC Securities, Inc.				
	NSFC	0226	ASAM	
<u>Add First Trade Date, February 23, 2009</u> MF Global Securities Inc.				
	MANS	0120	MFLI	

- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date February 19, 2009</u> Wedbush Morgan Securities Inc. Seton Securities Group Inc. Nunami Services LLC				
	WEDB	0103	WMGT	
	PERS	0443	SSGP	
	BELZ	0627	NAMZ	
<u>Add First Trade Date February 20, 2009</u> Electronic Transaction Clearing, Inc.				
	ETCC	0873	ETCE	
<u>Add First Trade Date February 23, 2009</u> Worden Capital Management, LLC				
	LGNT	0052	WORD	

- Please update the NSCC Directory dated January 2, 2009 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Executing Broker Name Change and Clearing Broker Change Effective First Trade Date February 18, 2009</u> From: Richard L. Craig & Co. To: GWM Group, Inc.				
	SWST	0279	RLCC	
	NFSC	0226	RLCC	

If you have any questions regarding this notice, please contact Fred Epstein (813) 470-1180 or e-mail NSCCAA@DTCC.com