

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6804
P&S# 6374
Date: 03/27/2009
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:

Last Trade Date, March 20, 2009:

Davis (Shelby Cullom) & Co. L.P. No. 0316

Last Trade Date, March 23, 2009:

Merrill Lynch Professional Clearing Corp/Pax #1 No. 0671

Last Trade Date, March 30, 2009:

Ultimus Fund Distributors, LLC/Church Capital Investment Trust No. 5585

Last Trade Date, March 31, 2009:

Federated Securities Corp./Edgewood/Huntington Funds No. 5832

Last Trade Date, April 3, 2009:

Mutual Service Corp./Contemporary Financial Solutions No. 4845

PFPC Distributors, Inc./Embarcadero Funds No. 5537

- The following firm will change its NYSE Listed Alpha Identifier as detailed below:

First Trade Date, March 30, 2009:

From: Barclays Capital Inc./Market Maker No. 0055
Listed Alpha Identifier: VDM

To: Barclays Capital Inc./Market Maker No. 0055
Listed Alpha Identifier: BCMM

- The following firm will no longer participate in the Mutual Fund Profile Phase I service, as detailed below:

Last Trade Date, March 31, 2009:

Hand Benefits & Trust Company No. 5950

- The following firm will begin participation in the Municipal Bond Comparison System service, as detailed below:

First Trade Date, March 30, 2009:

Zions Direct, Inc. No. 0065

Muni Alpha Identifier: ZION

- The following firm will begin participation in the Mutual Fund Profile Phase I and II service, as detailed below:

First Trade Date, April 1, 2009:

Community Bank, N.A. No. 5960

- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement and ACATS Fund/SERV services, as detailed below:

First Trade Date, March 30, 2009:

Quasar Distributors, LLC/Congress Asset Management No. 5741

Networking Symbol: 8U

Contact: Casey Sauer

Phone: (414) 347-5766

- An additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement and ACATS Fund/SERV services, as detailed below:

First Trade Date, March 31, 2009:

Northern Lights Distributors, LLC/Cardinal Bank No. 6540

Networking Symbol: AU

Contact: Mike Nielsen

Phone: (402) 493-1731 ext3

- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, March 24, 2009</u> Glen Rauch Securities, Inc.	BSCC	0352	GRSI	
<u>Delete Last Trade Date, March 27, 2009</u> Private Consulting Group, Inc.	BSCC	0352	PCGI	
<u>Add First Trade Date, March 25, 2009</u> ICP Securities, LLC	MLCO	0161	ICPS	

- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete last Trade Date, March 26, 2009</u> Landolt Securities, Inc.	NFSC	0226	LDOT	
<u>Add First Trade Date, March 26, 2009</u> Merrill Lynch, Pierce, Fenner & Smith, Inc.	MLCO	0161	MLSM	
<u>Add First Trade Date, March 27, 2009</u> Penson Financial Services, Inc.	PFSI	0234	PFBH	
<u>Add First Trade Date, March 26, 2009</u> Tradespot Markets, Inc.	PFSI	0234	TSMI	
<u>Add First Trade Date April 15, 2009</u> Redburn Partners (USA) LP	BNPS	0049	RDBN	

If you have any questions regarding this notice, please contact Fred Epstein (813) 470-1180 or e-mail NSCCAA@DTCC.com