

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6810
P&S# 6380
Date: 04/03/2009
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:

Last Trade Date, March 31, 2009:

Foreside Fund Services, LLC / Hirtle Callaghan Trust No. 6574

Last Trade Date, April 1, 2009:

Webster Bank Treasury Group No. 6769

Last Trade Date, April 3 2009:

1717 Capital Management Company No. 0605

Last Trade Date, April 6, 2009:

Quasar Distributors, LLC / Bristlecone Fund No. 5629

- The following firm will change its name only, as detailed below:

Effective First Trade Date, April 6, 2009:

From: Nationwide Securities, Inc. No. 0301

To: Nationwide Securities, LLC No. 0301

- The following firms will no longer participate in the Trade Recording and Trade Comparison and Envelope Settlement services, as detailed below:

Last Trade Date, April 1, 2009:

Dougall & Associates, Inc. No. 3626

- The following firms will no longer participate in the Trade Recording and Trade Comparison services, as detailed below:

Last Trade Date, April 1, 2009:

Dougall & Associates, Inc. / DCOD	No. 3517
Dougall & Associates, Inc. / DSLSTG	No. 3627
Dougall & Associates, Inc. / DVSTG	No. 3628

- An additional number has been assigned to Unified Financial, Inc. (#4965) for the purpose of utilizing the Fund/SERV, Networking, Mutual Fund Profile Phase I & II, and ACATS Fund/SERV services, as detailed below:

First Trade Date, April 1, 2009:

Unified Financial Securities, Inc./Hirtle Callaghan Trust	No. 6574
Networking Symbol:	4J
Contact: Cathy Harper	
Phone: (317) 917-7000.	

- An additional number has been assigned to Nationwide Securities LLC (#0301) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement and Mutual Fund Profile Phase I & II services, as detailed below:

First Trade Date, April 6 2009:

Nationwide Securities LLC/1717 CMC	No. 0605
Networking Symbol:	KA
Contact: Jon Davis	
Phone: (614) 858-8501	

- An additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, Mutual Fund Profile Phase I & II, and ACATS Fund/SERV services, as detailed below:

First Trade Date, April 7, 2009:

Northern Lights Distributors, LLC / OCM Gold Fund	No. 6663
Networking Symbol:	B0
Contact: Mike Nielsen	
Phone: (402) 493-1731 ext.3	

- The following firm will begin participation in the Municipal Comparison service, as detailed below:

First Trade Date, April 6, 2009:

Claymore Securities, Inc.

No. 0526

Muni Alpha Identifier:

CLYM

Contact: Sharon Nichols

Phone: (718)766-9648

- Please update the NSCC Directory dated January 2, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, April 3, 2009</u>				
Cheevers and Company Inc.	NFSC	0226	CHVS	
USF Securities, L.P.	NFSC	0226	POCA	
<u>Add First Trade Date, April 6, 2009</u>				
Weaver Tidewell Capital, LLC	FSWC	0309	WEAV	
<u>Add First Trade Date, April 7, 2009</u>				
Charles Vista, LLC	LGNT	0052	CVTA	
<u>Add First Trade Date, April 8, 2009</u>				
E.S. Financial Services, Inc.	PERS	0443	ESFS	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, March 31, 2009</u>				
Veritas Securities Corp.	SILK	0501	VRTS	
<u>Delete Last Trade Date, April 1, 2009</u>				
Parker Financial Corp.	PFSI	0234	PFCO	
<u>Executing Broker Change, Effective First Trade Date April 2, 2009</u>				
From: Meeting Street Brokerage, LLC	LGNT	0052	MSBB	
To: Meeting Street Brokerage, LLC	ALPS	8072	MSBB	

Add First Trade Date, April 1, 2009

Veritas Securities Corp. ECUT 0100 VRTA

Add First Trade Date, April 2, 2009

Lime Brokerage, LLC SILK 0501 LIWO

Add First Trade Date, April 6, 2009

Macro Risk Advisors, LLC SILK 0501 MRAD
Penson Financial Services, Inc. PFSI 0234 PFAW

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date April 3, 2009</u> EPlanning Securities, Inc.				
	NFSC	0226	EPLN	
<u>Add First Trade Date, April 3, 2009</u> Boston Merchants Financial Services, Inc.	SWST	0279	BMFS	
<u>Add First Trade Date, April 6, 2009</u> VCM Securities, LLC	PFSI	0443	VCMS	
<u>Add First Trade Date, April 7, 2009</u> Hexagon Securities, LLC	PERS	0443	HXGN	

If you have any questions regarding this notice, please contact Fred Epstein (813) 470-1180 or e-mail NSCCAA@DTCC.com