

# **IMPORTANT NOTICE**

**National Securities Clearing Corporation**



**A#:** 6821  
**P&S#** 6391  
**Date:** **May 12, 2009**  
**To:** **All Participants**  
**Attention:** **Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants**  
**From:** **Enterprise Service Center**  
**Subject:** **MEMORIAL DAY HOLIDAY SCHEDULE**

National Securities Clearing Corporation, the NYSE, and NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Monday, May 25, 2009 in observance of Memorial Day. The following changes in NSCC's operations and schedules will be in effect:

## **COMPARISON SYSTEMS:**

Trade input for OTC equities for trade date Friday, May 22, 2009 will be accepted no later than 12:00 Midnight on Friday, May 22, 2009. Trade input for the Real Time Transaction System (RTTM) for Corporate, Municipals, and UITS (CMU) for trade date Friday, May 22, 2009 will be accepted no later than 8:00 PM on Friday, May 22, 2009.

## **CNS CONTINUOUS NET SETTLEMENT:**

Seg. Exemptions and Priority Requests for settlement Tuesday, May 26, 2009 will be accepted on Friday evening, Friday, May 22, 2009.

## **CENTRAL DELIVERY AND SETTLEMENT:**

No Delivery or Settlement Operations will be provided on Monday, May 25, 2009.

## **INTER-CITY DELIVERIES:**

Inter-City Deliveries will be accepted on Friday, May 22, 2009 for settlement on Tuesday, May 26, 2009.

## **STOCK BORROW PROGRAM:**

Stock Borrow availability information for the Night Cycle will be accepted on Friday evening, Friday, May 22, 2009 for settlement Tuesday, May 26, 2009. The Daytime Stock Borrow for settlement Tuesday, May 26, 2009 will be accepted on Tuesday, May 26, 2009.

**ACAT - AUTOMATED CUSTOMER ACCOUNT TRANSFER:**

No ACAT activity will be accepted on Monday, May 25, 2009.

**ACAT - FUND/SERV INTERFACE:**

No ACAT-Fund/SERV Interface activity will be accepted on Monday, May 25, 2009.

**ACAT-IPS**

No ACATS-IPS Interface activity will be accepted on Monday, May 25, 2009.

**ACAT – COST BASIS:**

No ACAT- Cost Basis activity will be accepted on Monday, May 25, 2009.

**MUTUAL FUND COMMISSION SETTLEMENT:**

No Mutual Fund Commission Settlement activity will be accepted on Monday, May 25, 2009.

**FUND/SERV AND NETWORKING:**

No Fund/SERV or Networking activity will be accepted on Monday, May 25, 2009.

**INSURANCE PROCESSING SERVICE:**

IPS files received on Monday, May 25, 2009 will be processed. **POV files will be transmitted on Monday, May 25, 2009** (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding these files will be produced and distributed on Tuesday, May 26, 2009.

Questions regarding this notice should be directed to your Relationship Manager or Relationship Services at (800) 422-0582, or the undersigned at (212) 855-5947.

**Robert Famigletti**  
**Manager**  
**Enterprise Service Center**