

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6825
P&S# 6395
Date: 05/22/2009
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, May 29, 2009:

TD Ameritrade Trust Company/IA Services	No.	5998
Chevy Chase Bank, FSB	No.	4708

- The following firm will no longer participate in the OTC Comparison, RECAPS, and Envelope Settlement services, as detailed below:

Last Trade Date, May 21, 2009:

Biremis Corporation	No.	0421
OTC Alpha Identifier:		BRMS
Envelope Location: (New York)		

- The following firm will change its name only, as detailed below:

Effective First Trade Date, May 18, 2009:

From: Prudential Insurance Company of America/Prudential Direct, Inc.	No.	4673
To: Prudential Insurance Company of America/Prudential Insurance Agency, LLC.	No.	4673

- The following firm will change its name only, as detailed below:

Effective First Trade Date, May 21, 2009:

From: Greenwich Capital Markets, Inc. No. 0248
 To: RBS Securities, Inc. No. 0248

From: Greenwich Capital Markets, Inc. /OCC Cust No. 0261
 To: RBS Securities, Inc. /OCC Cust No. 0261

From: Greenwich Capital Markets, Inc. No. 6777
 To: RBS Securities, Inc. No. 6777

- The following firm will begin participation in the Corporate, Municipal, UIT, and OTC Comparison services, as detailed below:

First Trade Date, May 20, 2009:

Vanguard Marketing Corporation No. 0062
 OTC Alpha Identifier: VGRD
 Muni Alpha Identifier: VGRD

- An additional number has been assigned to Foreside Distribution Services, LP.(#4967) for the purpose of utilizing the Fund/SERV, Networking , Commission Settlement, Mutual Fund Profile Phase I and ACATS Fund/SERV services, as detailed below:

First Trade Date, May 20, 2009:

Foreside Distribution Services, LP/Sun Trust Bank Collective Trust Funds No. 5562
 Networking Symbol: 9G
 Contact: Kim Johnson
 Phone: (610) 676-3066

- The following firm will begin participation in the ACATS, and ACATS Fund/SERV services, as detailed below:

First Trade Date, May 22, 2009:

Pioneer Investment Management USA Inc. No. 5502

- The following firm will begin participation in the OTC Comparison services, as detailed below:

First Trade Date, May 26, 2009:

International Advisory Services Group No. 5040
 OTC Alpha Identifier: FIDT

- The following firm will begin participation in the Networking service, as detailed below:

First Trade Date, May 26, 2009:

Quasar Distributors, LLC/Pictet Funds No. 5793
 Networking Symbol: 0T
 Contact: Casey Sauer
 Phone: (414) 347-5766

- The following firm will begin participation in the Mutual Fund Profile Phase I service, as detailed below:

First Trade Date, May 26, 2009:

Calamos Financial Services LLC/Calamos Global Fund PLC No. 6621

- The following firm will begin participation in the Commission Settlement service, as detailed below:

First Trade Date, May 26, 2009:

Capital Guardian Trust Company/Capital International Funds No. 5107

- The following firm will begin participation in the Fund/SERV, ACATS Fund/SERV , and Networking services, as detailed below:

First Trade Date, June 1, 2009:

King (C.L.) & Associates, Inc. No. 0743
 Networking Symbol: ON
 Contact: Carrie Kane
 Phone: (518) 431-3540

- The following firm will begin participation in the Fund/SERV service, as detailed below:

First Trade Date, June 1, 2009:

Chevy Chase Trust Company No. 4708

- Please update the NSCC Directory dated April 3, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, May 19, 2009

Vanguard Brokerage Services	PERS	0443	VGRD
America's Choice Equities, LLC		0235	ACEL
Costa Financial Services, Inc.		0235	ACOS
American Financial Associates		0235	AFAI

Alexander Investment Services Co.	0235	ALIS
A & M Securities, LLC	0235	AMGA
Adie, Douglas Keith	0235	ARKI
Bluechip Securities Inc.	0235	BCSC
Bryan Funding Inc.	0235	BRYN
Broadwall Capital, LLC	0235	BWAL
Cambridge Group Investments, LTD	0235	CAMB
Capital West Securities, Inc.	0235	CAPW
Cambridge Legacy Securities, LLC	0235	CLSC
Gaines Financial Corporation	0235	ELLI
Financial Design, Inc	0235	FDCI
First Midamerican Investment Corporation	0235	FMIC
Greater Metropolitan Investment Services, Inc.	0235	GMIS
Heather Agency, Inc.	0235	HEZZ
Vestpoint Securities, Inc.	0235	HOME
ICR Financial Center Inc.	0235	ICRT
Milestone Financial Services	0235	MSRV
Myerberg & Co. L.P.	0235	MYBG
WCM Partners, LLC	0235	PART
World Choice Securities, Inc.	0235	PBTF
Chaconia Financial Services, Inc.	0235	PDTG
Penrod Financial Services, Inc.	0235	PENC
Jones (RT) Capital Equities Inc.	0235	RTJC
Stein Abbott & Co.	0235	SABC
Finbar Securities Corp.	0235	SANA
Santa Fe Securities Corp.	0235	SFSC
BACH, S.W. & Co.	0235	SWSW
Tempo Securities Corporation	0235	TMPO

Delete Last Trade Date, June 2, 2009

National Securities Corporation	PFSI	0234	NAPN
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Add First Trade Date May 20, 2009

Doley Securities LLC	SWST	0279	DOLY
Vanguard Marketing Corporation	VGRD	0062	VGRD

Add First Trade Date May 22, 2009

Biremis Corporation	TNBI	0607	BRMS
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Add First Trade Date June 1, 2009

Morgan Stanley Smith Barney LLC	SBSH	0418	MSSB
Morgan Stanley Smith Barney LLC	MSCO	0050	MSPW
OTA LLC	JEFF	0019	OTAD

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, May 19, 2009</u>				
Thrivent Investment Management, Inc.		0235	AALC	
Advanced Planning Securities, Inc.		0235	ADVP	
Andrew Garrett Inc.		0235	ANDR	
Correll Co. Investment Services Corp.		0235	CCIS	
Kuykendall & Schneider, Inc.		0235	KUYK	
Amerest Securities, Inc.		0235	REST	
Turning Point Securities LLC		0235	TRNP	

Delete Last Trade Date, May 21, 2009
Biremis Corporation

BRMS	0421	BRMS
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Delete Last Trade Date, May 22, 2009
American Eastern Securities, Inc.

BSSC	0352	AESI
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Add First Trade Date, May 21, 2009
JFD Securities

PFSI	0234	JFDS
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Add First Trade Date, May 26, 2009
Fortis Clearing Americas LLC
Fortis Clearing Americas LLC

SHMR	0695	FRTA
SHMR	0695	HBIF

Add First Trade Date, May 27, 2009
CJS Securities, Inc.

JEFF	0019	CJSC
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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, May 19 2009</u>				
Terra Securities Corp.		0235	TERA	
<u>Add First Trade Date, May 19, 2009</u>				
Sterling Investments	LGNT	0052	SLIN	

If you have any questions regarding this notice, please contact Fred Epstein (813) 470-1180 or e-mail NSCCAA@DTCC.com