

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6828
P&S# 6398
Date: 05/29/2009
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, May 28, 2009:

Biremis Corporation	No.	0421
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Last Trade Date, May 29, 2009:

Unified Financial Securities, Inc. /Skyhawk Capital Funds	No.	5499
Unified Financial Securities, Inc. /Amerprime Funds	No.	5441
Unified Financial Securities, Inc. /Landmark Fund	No.	5419
Kalmar Pooled Investment Trust	No.	5716

- The following firm will no longer participate in the NYSE and OTC Comparison services, as detailed below:

Last Trade Date, May 26, 2009:

GSECLP/FOC	No.	0690
NYSE Alpha Identifier:		FOC
OTC Alpha Identifier:		FOCC

- The following firm will no longer participate in the NYSE and OTC Comparison, and RECAP services, as detailed below:

Last Trade Date, May 27, 2009:

GSECLP/LIT	No.	0325
NYSE Alpha Identifier:		LIT
OTC Alpha Identifier:		GLDS

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- The following firm will no longer participate in the Mutual Fund Profile Phase II service, as detailed below:

Last Trade Date, May 28, 2009:

Quasar Distributors LLC / Investec Offshore Funds No. 5755

- The following firm will participate in the ACATS service, as detailed below:

First Trade Date, June 1, 2009:

The Bank of New York Mellon No. 0901

Davis Distributors, LLC No. 5563

- An additional number has been assigned to Driehaus Securities LLC (#6642) for the purpose of utilizing the Fund/SERV and Networking services, as detailed below:

First Trade Date, June 1, 2009:

Driehaus Securities LLC/ Driehaus Active Income Fund No. 5923

Networking Symbol: 2T

Contact: Darren Siverling

Phone: (414) 299-2160

- An additional number has been assigned to Northern Lights Distributors (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services, as detailed below:

First Trade Date, June 1, 2009:

Northern Lights Distributors, LLC / Bull Path Funds No. 5924

Networking Symbol: 0W

Contact: Mike Nielsen

Phone: (402) 493-1731 ext 3

- An additional number has been assigned to Northern Lights Distributors (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services, as detailed below:

First Trade Date, June 1, 2009:

Northern Lights Distributors, LLC / Caritas All-Cap Growth Fund No. 5926

Networking Symbol: 1Z

Contact: Mike Nielsen

Phone: (402) 493-1731 ext 3

- An additional number has been assigned to Northern Lights Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking , Commission Settlement, and ACATS Fund/SERV services, as detailed below:

First Trade Date, June 1, 2009:

Northern Lights Distributors, LLC / The Collar Fund
Networking Symbol:

No. 5927
2N

Contact: Mike Nielsen
Phone: (402) 493-1731 ext 3

- An additional number has been assigned to PFPC Distributors, Inc. (#6658) for the purpose of utilizing the Fund/SERV, Networking , Mutual Fund Profile Phase I, and ACATS Fund/SERV services, as detailed below:

First Trade Date, June 1, 2009:

PFPC Distributors, Inc. / Kalmar Pooled Investment Trust
Networking Symbol:

No. 5716
UR

Contact: Jayda Burke
Phone: (508) 871-3717

- Please update the NSCC Directory dated April 3, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, May 28, 2009

American Securities Group, Inc.
Lambright Financial Solutions, LLC

PFSI	0234	IIDC	
PERS	0443	SLAM	

Add First Trade Date, May 28, 2009

Alexander Investment Services Co.

	0235	ALIS	
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Add First Trade Date, May 29, 2009

Stormharbour Securities LP

	0235	STHA	
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**Clearing Broker Change Effective First Trade Date
May 27, 2009**

From: GSECLP / FOC	FOCC	0690	FOCC
To: GDECLP / FOC	SILK	0501	FOCC

**Clearing Broker Change Effective First Trade Date
May 29, 2009**

From: The Vertical Trading Group, LLC	JEFF	0019	VERT
To: The Vertical Trading Group, LLC	MLCO	0161	VERT

- Please update the NSCC Directory dated April 3, 2009 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, May 27, 2009</u>				
Cutler Securities, Inc.	SWST	0309	CUSR	
GSECLP / LIT	GLDS	0325	GLDS	
<u>Add First Trade Date, May 26, 2009</u>				
International Advisory Services Group	FIDT	5040	FIDT	
Murphy & Durieu	PERS	0443	MURD	
<u>Add First Trade Date, May 29, 2009</u>				
Reliance Securities, LLC	NFSC	0226	RELI	
<u>Clearing Broker Change Effective First Trade Date May 27, 2009</u>				
From: Brut, LLC	FOCC	0690	BRBE	
To: Brut, LLC	SILK	0501	BRBE	
From: Dart Execution, LLC	FOCC	0690	DRTG	
To: Dart Execution, LLC	SILK	0501	DRTG	

- Please update the NSCC Directory dated April 3, 2009 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, May 26, 2009</u> FCNB Investments	LGNT	0052	FCNB	
<u>Add First Trade Date, May 29, 2009</u> Finance 500, Inc.	QRCC	0158	FANC	

If you have any questions regarding this notice, please contact Fred Epstein (813) 470-1180 or e-mail NSCCAA@DTCC.com