

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6829
P&S# 6399
Date: 06/05/2009
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, June 2, 2009:

State Street Bank & Trust Company/The 401(K) Company/BWXT Y-12 No. 6688

Last Trade Date, June 5, 2009:

Lord Abbett Distributor LLC/CF Lord Abbett Investment Funds No. 5451

Last Trade Date, June 10, 2009:

Forside Distribution Services, L.P./Actinver Mexico Bond Fund, Inc. No. 4834

Last Trade Date, June 08, 2009:

Alger (Fred) & Company Incorporated No. 0078

- The following firm will no longer participate in the ACATS service, as detailed below:

Last Trade Date, June 1, 2009:

The Bank of New York Mellon No. 0901

- The following firm will participate in the Mutual Fund Profile Phase I & II services, as detailed below:

First Trade Date, June 8, 2009:

Crowell, Weedon & Co. No. 0574

Quasar Distributors, LLC/Jensen Portfolio Inc. No. 5491

- The following firms will participate in the ACATS service, as detailed below:

First Trade Date, June 10, 2009:

MF Global Inc. /Fixed Income No. 0120

Fidelity Investments Institutional Services Co. No. 5894

- The following firms will participate in the Commission Settlement service, as detailed below:

First Trade Date, June 10, 2009:

M & I Marshall & Iisley Bank No. 0992

First Trade Date, June 11, 2009:

Interactive Brokers LLC/Retail No. 0534

- Please update the NSCC Directory dated April 3, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Executing Broker Name Change, Effective First Trade Date June 3, 2009</u>				
From: Hunter Securities Corp.	PERS	0443	STLZ	
To: Bedrok Securities, LLC	PERS	0443	STLZ	
<u>Add First Trade Date, June 4, 2009</u>				
Seapine Securities, LLC	MLCO	0161	SEPN	
<u>Add First Trade Date, June 8, 2009</u>				
Pariter Securities, LLC	NFSC	0226	FJRF	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date June 11, 2009</u>				
From: Primary Capital, LLC	SALI	0750	JLEO	
To: Primary Capital, LLC	LGNT	0052	JLEO	
<u>Add First Trade Date, June 1, 2009</u>				
Wedbush Morgan Securities, Inc.	WEDB	0103	WMMO	
<u>Add First Trade Date, June 2, 2009</u>				
American Securities Group, Inc.	PFSI	0234	IIDC	
<u>Add First Trade Date, June 4, 2009</u>				
Electronic Transaction Clearing, Inc.	ETCC	0873	ETCD	

Add First Trade Date, June 5, 2009

Wedbush Morgan Securities, Inc.
RBS Securities, Inc.

WEDB	0103	WMSX
ABNA	0425	RBSG

Add First Trade Date, June 8, 2009

Assent, LLC

ANDZ	0442	ASNB
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<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, June 5, 2009

U.S. Wealth Advisors, LLC

NFSC	0226	USWA
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If you have any questions regarding this notice, please contact Fred Epstein (813) 470-1180 or e-mail NSCCAA@DTCC.com