

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6832
P&S# 6402
Date: June 12, 2009
To: All Participants
Attention: **Managing Partner/Officer, P&S Manager, Cashier,
Data Processing Manager, Fund/SERV Participants**
From: **Enterprise Service Center**
Subject: **INDEPENDENCE DAY HOLIDAY SCHEDULE**

National Securities Clearing Corporation, the NYSE, and NASDAQ Exchanges, FINRA, OTC Marketplaces will be closed on Friday, July 3, 2009 in observance of Independence Day. **The New York Banks will be open.** The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS

Trade input for OTC equities for trade date July 2, 2009 will be accepted no later than 12:00 Midnight on July 2, 2009. Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals, and UITs (CMU) for trade date July 2, 2009 will be accepted no later than 8:00 P.M. on July 2, 2009.

CONSOLIDATED TRADE SUMMARY AND SUPPLEMENTAL

The Consolidated Trade Summary will be available on Thursday, Evening, July 2, 2009. The Supplemental Consolidated Trade Summary, Cycle #1 will be available on Friday Night/Saturday Morning, July 3 / 4, 2009. Cycle #2 will be available on Monday, July 6, 2009.

CNS CONTINUOUS NET SETTLEMENT:

Seg. Exemptions and Priority Requests for settlement of Monday, July 6, 2009 will be accepted on Thursday evening, July 2, 2009. Since DTCC's systems will be available on Friday, July 3, 2009, NSCC's time schedules for CNS output will change. CNS activity normally due for distribution on Thursday night/Friday morning will be available to participants on Friday night/Saturday morning (24 hours later than usual). NSCC reports, and Machine Readable Output (MRO) affected by this change include:

Non-Confidential

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AUTOROUTE MRO	AUTOROUTE PRINT IMAGE #	REPORT NAME
02042022 (Y2K)	02040009	CNS PROJECTION REPORT FOR SETTLEMENT TUESDAY, JULY 7, 2009
02042023 (Y2K)	02040005	CNS SETTLEMENT ACTIVITY STATEMENT (NIGHT CYCLE) FOR SETTLEMENT MONDAY, JULY 6, 2009
02042008 (Y2K)	02040001	CNS MISCELLANEOUS ACTIVITY REPORT FOR SETTLEMENT MONDAY, JULY 6, 2009
N/A	02040006	CNS PRELIMINARY CASH RECONCILIATION STATEMENT FOR SETTLEMENT MONDAY, JULY 6, 2009
02042253 (Y2K)	02040252	CNS TENDER AND REORG REPORTS
02040890	02040891	CNS BUY IN ACTIVITY FOR SETTLEMENT TUESDAY, JULY 7, 2009
02040949	02040948	CNS POSITION PRIOR TO DAY CYCLE REPORT FOR SETTLEMENT MONDAY, JULY 6, 2009
02040951	02040950	CNS POSITION PRIOR TO NIGHT CYCLE REPORT FOR SETTLEMENT MONDAY, JULY 6, 2009

ENVELOPE SETTLEMENT SYSTEM (ESS):

No Deliver or Settlement Operations will be provided on Friday, July 3, 2009.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on Thursday, July 2, 2009 for settlement Monday, July 6, 2009.

STOCK BORROW PROGRAM

Stock Borrow availability information for the Night Cycle will be accepted on Thursday evening, July 2, 2009 for settlement on Monday, July 6, 2009. The Daytime Stock Borrow for settlement Monday, July 6, 2009 will be accepted on Monday, July 6, 2009.

ACAT- AUTOMATED CUSTOMER ACCOUNT TRANSFER:

No ACAT activity will be accepted on Friday, July 3, 2009.

ACAT-FUND/SERV

No ACAT-FUND/SERV Interface activity will be accepted on Friday, July 3, 2009.

ACAT – COST BASIS:

No ACAT- Cost Basis activity will be accepted on Friday, July 3, 2009.

MUTUAL FUND COMMISSION SETTLEMENT

No Mutual Fund Commission Settlement activity will be accepted on Friday, July 3, 2009.

FUND/SERV AND NETWORKING

No Fund/SERV or Networking activity will be accepted on Friday, July 3, 2009. The Networking System **WILL** be available on Saturday, July 4, 2009.

INSURANCE PROCESSING SERVICE

IPS files received on Friday, July 3, 2009 will be processed. POV files will be transmitted on Friday, July 3, 2009 (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding these files will be produced and distributed on Saturday, July 4, 2009. Insurance processing **WILL** be available on Saturday, July 4, 2009. There will be no Settlement provided on Friday, July 3, 2009.

Questions regarding this notice should be directed to DTCC Relationship Management (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti
Manager
Enterprise Service Center