

# **IMPORTANT NOTICE**

**National Securities Clearing Corporation**



**A#:** 6911  
**P&S#** 6481  
**Date:** December 9, 2009  
**To:** All Participants  
**Attention:** Managing Partner/Officer; P&S Manager; Cashier Manager, Data Processing Manager, Insurance Processing Manager, Mutual Fund Processing Manager  
**From:** Enterprise Service Center  
**Subject:** CHRISTMAS HOLIDAY SCHEDULE

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Friday, December 25, 2009 in observance of Christmas Day. The following changes in NSCC's operations and schedules will be in effect:

## **COMPARISON SYSTEMS:**

Trade input for OTC equities for trade date Thursday, December 24, 2009 will be accepted no later than 12:00 Midnight on Thursday, December 24, 2009. Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date Thursday, December 24, 2009 will be accepted no later than 8:00 pm on Thursday, December 24, 2009.

## **CNS CONTINUOUS NET SETTLEMENT:**

Seg. Exemptions and Priority Requests for settlement of Monday, December 28, 2009 will be accepted on Thursday Evening, December 24, 2009.

## **CENTRAL DELIVERY AND SETTLEMENT:**

No Deliver or Settlement Operations will be provided on Friday, December 25, 2009.

## **INTER-CITY DELIVERIES:**

\*\*\*Due to the legal holiday in Canada on Monday, December 28, 2009 (Boxing Day), all inter-city deliveries received by NSCC on Thursday, December 24, 2009 for delivery to Canadian Participants only will settle on Tuesday, December 29, 2009.

**STOCK BORROW PROGRAM:**

Stock Borrow availability information for the Night Cycle will be accepted on Thursday, December 24, 2009 for settlement Monday, December 28, 2009. The Daytime Stock Borrow for settlement Monday, December 28, 2009 will be accepted on Monday, December 28, 2009.

**ACAT - AUTOMATED CUSTOMER ACCOUNT TRANSFER:**

No ACAT activity will be accepted on Friday, December 25, 2009.

**ACAT - FUND/SERV INTERFACE:**

No ACAT-Fund/SERV Interface activity will be accepted on Friday, December 25, 2009.

**ACAT – COST BASIS:**

No ACAT- Cost Basis activity will be accepted on Friday, December 25, 2009.

**ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on. Friday, December 25, 2009.

**MUTUAL FUND COMMISSION SETTLEMENT:**

No Mutual Fund Commission Settlement activity will be accepted on Friday, December 25, 2009.

**FUND/SERV AND NETWORKING:**

No Fund/SERV or Networking activity will be accepted on Friday, December 25, 2009.

**INSURANCE PROCESSING:**

IPS files received on Friday, December 25, 2009 will be processed. **POV files will be transmitted on Friday, December 25, 2009** (refer to NSCC Important Notice A#6648, dated June 30, 2009). Subsequent output regarding all other files will be produced and distributed on Friday, December 25, 2009.

Questions regarding this Important Notice should be directed to Participant Services at (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti  
Manager  
Enterprise Service Center