

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6924
P&S# 6494
Date: 12/31/09
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, December 31, 2009:

EVD/ Wright Investor	No.	5872
Evergreen Investment Services, Inc.	No.	5518

- The following firm will begin participation in the Networking & Commission Settlement Service, as detailed below:

First Trade Date, January 4, 2010:

Capital One Investment Services Corporation	No.	0431
Networking Symbol:	GV	
Contact: Theresa Deady		
Phone: (800) 248-2919		

- The following firm will begin participation in the Insurance Processing Service, as detailed below:

First Trade Date, January 8, 2010:

Midland National Life Insurance Company	No.	4709
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- An additional number has been assigned to Reliance Trust Company, LLC (#4896) for the purpose of utilizing Fund/SERV, Networking & Mutual Fund Profile I services, as detailed below:

First Trade Date, December 31, 2009:

Reliance Trust Company/MetLife Stable Value Fund	No.	5312
Networking Symbol:	KO	
Contact: Ron Montgomery		
Phone: (610) 676-3102		

- An additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking, Commission Settlement, Mutual Profile I, & ACATS Fund/SERV services, as detailed below:

First Trade Date, January 4, 2010:

Foreside Fund Services, LLC/Wright Investors	No. 5872
Networking Symbol:	ER
Contact: Travis Randall	
Phone: (207) 347-2060	

- Additional numbers have been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing Fund/SERV, Networking, Commission Settlement, & ACATS Fund/SERV services, as detailed below

First Trade Date, January 4, 2010:

Quasar Distributors, LLC/Mariner Funds	No. 5406
Networking Symbol:	HO

Quasar Distributors, LLC/Evermore Value Trust	No. 5407
Networking Symbol:	HU

Quasar Distributors, LLC/Gerstein Fisher Funds	No. 5938
Networking Symbol:	DY

Quasar Distributors, LLC/Tamarack Mid Cap Value Fund	No. 5413
Networking Symbol:	IR

Contact: Casey Sauer
Phone: (414) 347-5766

- An additional number has been assigned to Northern Lights Distributors (#4915) for the purpose of utilizing Fund/SERV, Networking, Commission Settlement, & ACATS Fund/SERV services, as detailed below:

First Trade Date, January 4, 2010:

Northern Lights Distributors, LLC/ Investment Partners Opportunities Fund	No. 5414
Networking Symbol:	IU

Contact: Mike Nielsen
Phone: (402) 493-1731 x 3

- An additional number has been assigned to Wells Fargo Funds Distributor Inc.(#5576) for the purpose of utilizing Fund/SERV, Networking, Commission Settlement, Mutual Fund Profile I& II & ACATS Fund/SERV services, as detailed below:

First Trade Date, January 4, 2010:

Wells Fargo Funds Distributor Inc/Evergreen Investment Services, Inc	No. 5518
Networking Symbol:	KY

Contact: Cara Peck
Phone: (415) 396-1831x3

- Please update the NSCC Directory dated October 2, 2009 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, January 4, 2010</u> Professional Asset Management, Inc.	MSRO	0727	PRAS	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, January 4, 2010</u> Penson Financial Services, Inc.	PFSI	0234	PFCX	
Penson Financial Services, Inc.	PFSI	0234	PFCU	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, January 4, 2010</u> J.J.B. Hilliard, W.L. Lyons, LLC.	HDLY	0768	HLHC	

If you have any questions regarding this notice, please contact Donald Schwartz (813) 470-1223 or e-mail NSCCAA@DTCC.com