

IMPORTANT NOTICE

The Depository Trust Company



#: B6192-10
Date: February 3, 2010
To: All Participants
Category: Compliance
From: General Counsel's Office
Attention: Managing Partner/Officer Cashier/Operations Management
Subject: DTC Rule Change SR-DTC-2010-04 relating to 17Ad-16 notifications

The Depository Trust Company ("DTC") recently filed a rule change with the Securities and Exchange Commission ("SEC") as effective upon filing, pursuant to Section 19(b)(3)(A) of the Securities and Exchange Act of 1934. The purpose of the change was to update DTC's Procedures regarding the method of notification for transfer agent changes.

In 1995, the Securities and Exchange Commission ("SEC") approved a DTC rule filing in which DTC was seeking designation as the "appropriate qualified registered securities depository" to receive notices of transfer agent changes pursuant to Rule 17Ad-16 of the Securities Exchange Act of 1934, as amended ("Rule 17Ad-16"). Rule 17Ad-16 is designed to address the current and continuing problem of transfer delays due to unannounced transfer agent changes, including the change of a transfer agent for a particular issue and the change of the name or address of a transfer agent. Rule 17Ad-16 requires registered transfer agents to send a notice to the "appropriate qualified registered securities depository" when assuming or terminating transfer agent services on behalf of an issuer or when changing its name or address ("Rule 17Ad-16 notices").

In order to eliminate uncertainty about where registered transfer agents should direct Rule 17Ad-16 notices, and to reduce unnecessary costs and administrative burdens for transfer agents and registered securities depositories, DTC has modified its Procedures to designate the Transfer Agent Services Mailbox (TAServices@dtcc.com) as the sole mode of notification for Rule 17Ad-16 notices.

The full text of the rule change is available at our website, www.dtcc.com. Questions or inquiries regarding this proposed rule change may be directed to Candice Fordin, Associate Counsel at email cfordin@dtcc.com or (212) 855-7632; any such comments will be forwarded to the SEC. Written comments may also be addressed to the Secretary of the Commission, Securities and Exchange Commission, 100 First Street, N.E., Washington, D.C. 20549. We request that you provide DTC with a copy of your comments.

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

To remove your name from the former system of email notifications, send a message to unsubscribe@dtcc.com.