

IMPORTANT NOTICE

The Depository Trust Company



#: 6914-10
Date: June 24, 2010
To: All Participants
Category: Compliance
From: Account Administration/Mary Peake
Attention: Managing Partner/Officer/Cashier
Subject: Ridge Clearing & Outsourcing Solutions, Inc./Penson Financial Services, Inc. - Conversion

Ridge Clearing & Outsourcing Solutions, Inc. ("RCOS") will undergo a back office consolidation. As a result, effective with the close of business on June 25, 2010, RCOS will change its primary account number from 0158 to 0543 and designate 0158 and 0455 as additional accounts of Penson Financial Services, Inc.

Please note that effective with the opening of business on June 28, 2010, account number 0158 and 0455 will be assigned to, and all open contracts will be assumed by, Penson Financial Services, Inc., #0234. Accordingly, the name of the following accounts will change:

<u>Account Name</u>	<u>Account Number</u>
From: Ridge Clearing & Outsourcing Solutions, Inc.	0158
Ridge Clearing & Outsourcing Solutions, Inc./Santander	0455
To: Penson Financial Services, Inc./Ridge	0158
Penson Financial Services, Inc./Santander	0455

For further information, please contact:

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The Depository Trust Company

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