

# IMPORTANT NOTICE

## The Depository Trust Company



**#:** 7414-10  
**Date:** September 30, 2010  
**To:** All DTCC Participants  
**Category:** Operations  
**From:** Inventory Management  
**Attention:** Managing Partner/Officer, Cashier, Operations Manager  
**Subject:** Registrar and Transfer Company Processing Fees

Effective October 12, 2010, Registrar and Transfer Co. will be implementing the following fees. Any questions concerning these fees please contact Robert Melillo at (800) 525-7686 x2726 [rmelillo@rtco.com](mailto:rmelillo@rtco.com) or Nick Giancaspro at (800) 525-7686 x2526 [ngiancaspro@rtco.com](mailto:ngiancaspro@rtco.com).

<u>Fee Description</u>	<u>Fee</u>	<u>Comments</u>
Withdrawal by Transfer (WT) reject	\$50.00	RTCO will list on Uniform Billing Invoice
DRS Profile reject	\$5.00	DTCC Centralized Billing
Deposit reject	\$50.00	RTCO will list on Uniform Billing Invoice
Deposit of "stopped" certs reject	\$50.00	RTCO will list on Uniform Billing Invoice
DWAC Processing	\$50.00	RTCO will invoice brokers directly
Manual DO Processing	\$75.00	RTCO will invoice brokers directly
WT – DMA	\$12.00	DTCC Centralized Billing
* Rush Transfer	\$100.00	Pre-paid with SCL
* Same-day Rush Transfer	\$125.00	Pre-paid with SCL
Transfer Correction	\$25.00	Request must be presented with check
Reorg/Exchange Reject	\$50.00	RTCO will list on Uniform Billing Invoice
CUSIP Specific Processing Fee (See attached EXHIBIT I)	\$25.00	Pre-paid with SCL
CUSIP Specific Certificate Fee (See attached EXHIBIT II)	\$50.00	Pre-paid with SCL

**\*Please note that an additional fee of \$10.00 per certificate, over the first certificate issued, will be assessed to the cost of a RUSH transfer.**

In addition to the above the following CUSIP numbers require a processing or certificate fee to be submitted with the transfer request (deposit or withdrawal).

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

To remove your name from the former system of email notifications, send a message to [unsubscribe@dtcc.com](mailto:unsubscribe@dtcc.com).

## EXHIBIT I

Registrar and Transfer Company requires a processing fee (\$25/transaction) to be submitted with the transaction request (deposit or withdrawal) for the following list of CUSIP numbers.

CUSIP	Issue Description	RTCO Issue Code	New Addition to List
008032104	Aerosystems Technology	0254	
004920104	Action Products International	5436	
004920146	Action Products International Warrants Exp. 12/31/2010	5479	
004920161	Action Products International Warrants Exp. 1/31/2011	6045	
01887P100	Allied Bancshares Inc.	5625	Y
058659103	Ballistic Recovery Systems, Inc.	5552	
065824104	Bank of Wilmington	4890	Y
11836P101	Buckhead Community Bancorp, Inc.	6250	Y
139380109	Cape Fear Bank Corporaiton	5852	Y
14056A101	Capitalsouth Bancorp	5756	Y
20344K108	Community Bancshares of West GA.	5446	Y
238045108	Datamarine International, Inc.	5102	Y
25384E102	Digital Broadband Networks Inc.	5279	Y
UNKNOWN	Direct RX, Inc.	4323	Y
267872109	Dynamic Health Products, Inc.	4600	
29286A101	Engineered Sinterings & Plastics, Inc. Class A	3078	
29286A200	Engineered Sinterings & Plastics, Inc. Class B	3077	
320050107	First Coweta Bank	5651	Y
34958N100	Forticell Bioscience, Inc.	6044	Y
349660209	Fortune Market Media Inc.	6651	Y
UNKNOWN	Frawley Enterprises (old)	3857	Y
355540204	Frawley Corporation	3858	Y
37250A106	Geopharma, Inc.	5359	
380193102	Go2Pharmacy, Inc.	5036	
39012M105	Great Basin Financial Corporation	5032	Y
41753P107	Harvest Home Financial Corp	4975	Y
420040107	Hawaiian Vintage Chocolate Co., Inc.	4332	
422463109	Heathrow Natural Food & Beverage Inc.	6637	Y
43770P102	Homestead Bank	6051	Y
457647105	Innovex Inc.	5280	Y
UNKNOWN	Kenwood Bancorp Inc.	5308	Y
526867106	Lescarden Inc.	0952	Y
UNKNOWN	Lescarden Inc. Convertible PFD	2749	Y
53223L103	Light Media Holdings, Inc.	6486	Y

57056A100	Market Financial Corporation	5097	Y
UNKNOWN	MCB Financial Group, Inc.	6293	Y
UNKNOWN	MetalTec Corporation	0482	Y
59451T106	Michigan Heritage Bancorp Inc.	4191	Y
64015W108	Neighbors Bancshares Inc.	5977	Y
651647109	Newnan Coweta Bankshares, Inc.	4968	Y
657032108	North American Minerals Group	6673	Y
68749B405	Ortec International, Inc.	5585	Y
69335R101	PFS Bancorp Inc.	5174	Y
70212P101	Partners Financial Corp	5861	Y
71086E107	Peoples Community Bancorp Inc.	4973	Y
74047Y105	PreMD Inc.	6400	Y
76965R103	Rivoli Bancorp, Inc.	4884	Y
776338204	Ronson Corporation	2940	
776338105	Ronson Corporation (OLD)	0015	
776338303	Ronson Corporation 12% Cumulative Conv. PFD	2955	
813714102	Secured Digital Applications Inc	5316	Y
88409U105	Thinkengine Networks, Inc.	5588	
929273100	WGL Entertainment Holdings Inc. (old1)	5903	Y
929273209	WGL Entertainment Holdings Inc. (old2)	6152	Y
929273308	WGL Entertainment Holdings Inc. (new)	6439	Y
94150W100	Waterford Village Bank	6129	Y

## EXHIBIT II

Registrar and Transfer Company requires a certificate fee (\$50/certificate) to be submitted with the transaction request (withdrawal) for the following list of CUSIP numbers.

189754104	Coach Inc.	6668	Y
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