

IMPORTANT NOTICE

The Depository Trust Company



#: B6121-10
Date: 1/11/2010
To: All Participants
Category: Settlement
From: Jack Manuel / Settlement Product Management
Attention: Settlement Manager/Managing Director/Cashier
Subject: Options Symbology Initiative (OSI) – Premium Payment Orders (PPOs)

In Important Notice# 5017-09 dated May 26, 2009 and Important Notice# 5422-09 dated August 28, 2009, DTC outlined modifications to its Payment Order system for Premium Payment Orders (PPOs) resulting from the industry-wide Options Symbology Initiative.

DTC would like to remind automated PPO users that effective February 12, 2010 they must be fully converted to the new input and output formats as the current fields will no longer be supported. Participants are also reminded that DTC's User Acceptance Testing (UAT) region and the migration period will remain available through the implementation deadline. In an effort to simulate the upcoming changes and further facilitate participant testing, as of January 25, DTC's UAT region will be modified to no longer accept the current fields on input or output. DTC strongly suggests that all affected participants test the new input and output formats prior to February 12, 2010.

Questions regarding this Important Notice may be directed to your DTCC Relationship Manager, the Settlement Hotline at 1-888-360-3822 or the undersigned at 212-855-5921.

Jack Manuel, Product Management (jmanuel@dtcc.com).

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