

# **IMPORTANT NOTICE**

**National Securities Clearing Corporation**



**A#: 6928**  
**P&S# 6498**  
**Date: January 6, 2010**  
**To: ALL PARTICIPANTS**  
**Attention: MANAGING PARTNER/OFFICER, OPERATIONS  
PARTNER/OFFICER, COMPLIANCE OFFICER**  
**From: Enterprise Service Center**  
**Subject: MARTIN LUTHER KING, JR. DAY**

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Monday, January 18, 2010 in observance of Martin Luther King Jr. Day. The following changes in NSCC's operations and schedules will be in effect:

## **COMPARISON SYSTEMS:**

Trade input for OTC equities for trade date January 15, 2010 will be accepted no later than 12:00 Midnight on January 15, 2010. Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITS (CMU) for trade date January 15, 2010 will be accepted no later than 8:00pm on January 15, 2010.

## **CNS CONTINUOUS NET SETTLEMENT:**

Seg. Exemptions and Priority Requests for settlement Tuesday, January 19, 2010 will be accepted on Friday evening, January 15, 2010.

## **CENTRAL DELIVERY AND SETTLEMENT:**

No Delivery or Settlement Operations will be provided on Monday, January 18, 2010.

## **INTER-CITY DELIVERIES:**

Inter-City Deliveries will be accepted on Friday, January 15, 2010 for settlement Tuesday, January 19, 2010.

**STOCK BORROW PROGRAM:**

Stock Borrow availability information for the Night Cycle will be accepted on Friday evening, January 15, 2010 for settlement Tuesday, January 19, 2010. The Daytime Stock Borrow for settlement Tuesday, January 19, 2010 will be accepted on Tuesday, January 19, 2010.

**ACAT - AUTOMATED CUSTOMER ACCOUNT TRANSFER:**

No ACAT activity will be accepted on Monday, January 18, 2010.

**ACAT - FUND/SERV INTERFACE:**

No ACAT - FUND/SERV Interface activity will be accepted on Monday, January 18, 2010.

**ACAT - COST BASIS**

No COST BASIS activity will be accepted on Monday, January 18, 2010.

**ACATS / IPS**

No ACATS/IPS Interface activity will be accepted on Monday, January 18, 2010.

**MUTUAL FUND COMMISSION SETTLEMENT:**

No Mutual Fund Commission Settlement activity will be accepted on Monday, January 18, 2010

**FUND/SERV AND NETWORKING:**

No Fund/SERV or Networking activity will be accepted on Monday, January 18, 2010

**INSURANCE PROCESSING:**

IPS files received on Monday, January 18, 2010 will be processed. **POV files will be transmitted on** Monday, January 18, 2010 (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding all other files will be produced and distributed on Tuesday, January 19, 2010.

Any Questions regarding this Important Notice can be directed to NSCC Participant Services at (800) 422-0582 or the undersigned at (212) 855-5947.

Robert Famigletti  
Manager  
Enterprise Service Center