

# IMPORTANT NOTICE

**National Securities Clearing Corporation**



**A#:** 6931  
**P&S#** 6501  
**Date:** January 11, 2010  
**To:** ALL PARTICIPANTS  
**Attention:** MANAGING PARTNER/OFFICER, OPERATIONS  
PARTNER/OFFICER, COMPLIANCE OFFICER  
**From:** PRODUCT MANAGEMENT  
**Subject:** NYSE CONTRACT OUTPUT CHANGES – ACCOUNT ID

The NYSE has announced their comparison stream is being enhanced to pass Account ID information on NYSE and NYSE Amex executions to National Securities Clearing Corporation (NSCC). The Account ID data will be reported to member firms on the NYSE Intraday Machine Readable Output (MRO), AutoRoute Product ID 02013036. NSCC will report the Account ID information received from NYSE and NYSE Amex in the Client order ID field (position 296-325).

AutoRoute Product ID	Name	Position	Description	Change
02013036	NYSE Intraday MRO	296-325	Client Order ID	Report Account ID Data

Members are informed that the Account ID information submitted to NSCC may contain up to 32 characters in length. As mentioned above, NSCC will report the Account ID information in the Client Order ID field, which is a 30 byte character field. If the value in the Account ID field is greater than 30 bytes, the last two (2) bytes will be truncated by NSCC. **The effective date of this modification is scheduled for February 19, 2010.**

The NYSE will begin providing Account ID information to NSCC prior to the above mentioned implementation date. Please refer to NYSE information memo dated December 14, 2009 for their implementation schedule. For that interim period, the Account ID information will be reported in the OCS comments 1 and OCS comments 2 data field (position 339-363) on the NYSE Intraday Machine Readable Output (MRO), AutoRoute Product ID 02013036.

Please refer to the link below to access the NYSE Information Memo issued on December 14, 2009.

<http://traderupdates.nyse.com>

**Participant Testing**

NSCC will make available its Participant Services Environment (PSE) for testing of these changes. Member firms who are currently submitting Account ID data can request a test file. NSCC will copy your firm’s production data to the NSCC test region to produce the test file. NSCC will utilize the previous day’s production data received in cycle 6 to produce the test output. NSCC will make 3 test dates available for testing these changes. They are as follows:

<b>Production Data Date</b>	<b>Test File Produced</b>
January 20, 2010	January 21, 2010
January 27, 2010	January 28, 2010
February 3, 2010	February 4, 2010

In order for participants to test, they must have a valid network connection to the PSE Test region at DTCC and be set up for the test AutoRoute Product ID 02983036. To confirm that your firm has the appropriate network connection and test product set up contact the DTCC Enterprise Service Center (ESC) at 888-382-2721, option 5, option 1.

For additional questions regarding this notice contact the ESC, your DTCC Relationship Manager or the undersigned at 212-855-5667

Bill J. Kapogiannis  
Director, Product Management