

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6936
P&S# 6506
Date: 01/22/10
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following participant of the Depository Trust Company will begin participation in the ACATS services as detailed below:

First Trade Date, January 25, 2010:

Trustmark National Bank

No. 2852

- The following firm will begin participation in Networking & Fund/SERV services, as detailed below:

First Trade Date, January 27, 2010:

Mainsail Group, LLC

No. 5411

Networking Symbol:

L7

Contact: Greg Jakubowsky

Phone: (212)389-8710

- Please update the NSCC Directory dated January 4, 2010 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, January 21, 2010</u> Curtiswood Capital, LLC	LGNT	0052	CTWD	
<u>Add First Trade Date, January 22, 2010</u> Sunrise Securities Corp. Dynasty Capital Partners, Inc.	NFSC SWST	0226 0279	SNRC DCPI	
<u>Add First Trade Date, January 25, 2010</u> Arbor Research & Trading, Inc.	FTIS	7253	ARTI	
<u>Clearing Broker Change Effective First Trade Date February 3, 2010</u> From: Herndon Plant Oakley Ltd. To: Herndon Plant Oakley Ltd.		0235 0141	HPOL HPOL	

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	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, January 20, 2010</u> Newedge USA, LLC	PCAP	0608	NHUN	
<u>Delete Last Trade Date, January 21, 2010</u> Tullett Liberty Securities, Inc.	JEFF	0019	TLDX	
<u>Add First Trade Date, January 22, 2010</u> Newedge USA, LLC Merrill Lynch, Pierce, Fenner & Smith Incorporated	PCAP MLCO	0608 0161	NEPB MLCA	
<u>Add First Trade Date, February 1, 2010</u> Newedge USA, LLC	PCAP	0608	NEBT	
<u>Executing Broker Name Change Effective First Trade Date January 20, 2010</u> From: ABS Brokerage Services, L.L.C. To: OES Brokerage Services, L.L.C.	MLCO MLCO	0161 0161	ARCB ARCB	
From: ABS Brokerage Services, L.L.C. To: OES Brokerage Services, L.L.C.	MLCO MLCO	0161 0161	OESM OESM	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, January 20, 2010</u>				
Pimex, Inc.	NFSC	0226	PMMX	
Chittenden Securities Inc	NFSC	0226	CISD	

If you have any questions regarding this notice, please contact Donald Schwartz (813) 470-1223 or e-mail NSCCAA@DTCC.com