

# **IMPORTANT NOTICE**

**National Securities Clearing Corporation**



**A#:** 6942  
**P&S#** 6512  
**Date:** **February 1, 2010**  
**To:** **All Participants**  
**Attention:** **Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants**  
**From:** **Enterprise Service Center**  
**Subject:** **WASHINGTON'S BIRTHDAY (PRESIDENTS' DAY) HOLIDAY SCHEDULE**

National Securities Clearing Corporation, the NYSE & NASDAQ Exchanges, FINRA, OTC Marketplaces and all New York Banks will be closed on Monday, February 15, 2010 in observance of Washington's Birthday. The following changes in NSCC's operations and schedules will be in effect:

## **COMPARISON SYSTEMS**

Trade input for OTC equities for trade date Friday, February 12, 2010 will be accepted no later than 12:00 Midnight on Friday, February 12, 2010. Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals, and UITs (CMU) for trade date Friday, February 12, 2010 will be accepted no later than 8:00pm on Friday, February 12, 2010.

## **CNS CONTINUOUS NET SETTLEMENT**

Seg. Exemptions and Priority Requests for settlement Tuesday, February 16, 2010 will be accepted on Friday evening, February 12, 2010.

## **CENTRAL DELIVERY AND SETTLEMENT**

No Delivery or Settlement Operations will be provided on Monday, February 15, 2010.

## **INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Friday, February 12, 2010 for settlement Tuesday, February 16, 2010.

**STOCK BORROW PROGRAM**

Stock Borrow availability information for the Night Cycle will be accepted on Friday evening, February 12, 2010 for settlement Tuesday, February 16, 2010. The Daytime Stock Borrow for settlement Tuesday, February 16, 2010 will be accepted on Tuesday, February 16, 2010.

**ACAT - AUTOMATED CUSTOMER ACCOUNT TRANSFER**

No ACAT activity will be accepted on Monday, February 15, 2010.

**ACAT - FUND/SERV INTERFACE**

No ACAT - FUND/SERV Interface activity will be accepted on Monday, February 15, 2010.

**ACATS/IPS**

NO ACATS/IPS Interface activity will be accepted on Monday, February 15, 2010.

**COST BASIS**

No COST BASIS activity will be accepted on Monday, February 15, 2010.

**MUTUAL FUND COMMISSION SETTLEMENT**

No Mutual Fund Commission Settlement activity will be accepted on Monday, February 15, 2010.

**FUND/SERV AND NETWORKING**

No Fund/SERV or Networking activity will be accepted on Monday, February 15, 2010.

**INSURANCE PROCESSING**

IPS files received on Monday, February 15, 2010 will be processed. **POV files will be transmitted on Monday, February 15, 2010** (refer to NSCC Important Notice A#6648, dtd June 30, 2008). Subsequent output regarding these files will be distributed on Tuesday, February 16, 2010.

Any questions regarding this notice can be directed to your NSCC Participant Services at (800) 422-0582 or the undersigned at (212) 855-5947.

**Robert Famigletti  
Manager  
Enterprise Service Center**