

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6945
P&S# 6515
Date: February 5, 2010
To: All Participants
Attention: Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From: Cashiering

Subject: New CNS Web Inquiry Notification Screens (WINS)

Please be advised that effective February 22, 2010, CNS (Cashiering) will have its own list of WINS Options on PBS and PC web. Previously there were two options that existed for CNS Dividend Claims and CNS Dividend Inquiry found on PBS and PC web when selecting the dividend category. There will now be a selection for CNS (Cashiering), and the number of inquiry types will go from two to fourteen. Below is a prototype of all categories.

Inquiry Type	Product	Issue
CNS (Cashiering)	CNS Dividends	CNS Dividend Claim
		CNS Dividend Inquiry
	CNS Reorgs	CNS Mandatory Reorg
		CNS Voluntary Reorg
	CNS Buy ins	Original CNS Notice of Intent
		Re-transmittal Notice of Intent
		CNS Buy Order
		CNS Buy in Execution
	CNS Exit requests	Request for counterparty Identification
		Request Exit (Must be received from both parties)
		Proxy Request for counterparty Identification
	CMU Inquiry	Regular way Trades
		When Issued Trades
		General CMU Inquiry

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

The new options will allow for Participants to inquire on a wider array of products and services; CNS buy-ins, CNS Dividends, CNS Reorgs, and CMU Inquiries. The selections offered by the CNS area will also include an easier and more effective way to process broker exit requests.

The benefits include multiple CNS representatives monitoring requests throughout the day and timely responses to your inquiries.

For training on WINS, please contact DTCC Learning, E-mail us at training_administrator@dtcc.com or call our toll-free number at (888)258-6393.

Questions regarding this notice can be directed to George Novitsky @ (813) 470-1022 or the undersigned @ (212) 855-7205

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