

IMPORTANT NOTICE

National Securities Clearing Corporation



A#:6951

P&S#6521

Date: February 19, 2010

To: ALL PARTICIPANTS

**Attention: MANAGING PARTNER/OFFICER, OPERATIONS
PARTNER/OFFICER, COMPLIANCE OFFICER**

From: PRODUCT MANAGEMENT

**Subject: CHANGES TO NSCC CLEARANCE FOR NYSE AMEX TRADING NASDAQ
SECURITIES – IMPLEMENTATION DATE**

As previously announced on NSCC Important Notice A#6882, P&S #6452 dated October 1, 2009, the New York Stock Exchange (NYSE) Amex plans to allow trading in NASDAQ-listed equities and select ETFs on an Unlisted Trading Privilege (UTP) basis. In support of these changes, DTCC has modified its NYSE post trade processing and reporting.

Please refer to the links below to access the NYSE Information Memos issued on September 8, 2009 and November 4, 2009.

http://traderupdates.nyse.com/2009/09/nyse_amex_plans_to_trade.html

http://traderupdates.nyse.com/2009/11/nyse_amex_trading_of_nasdaq_sy.html

This Important Notice announces NSCC's implementation schedule for the above mentioned modifications. NSCC will implement these changes into production on March 11, 2010. The NYSE will announce their implementation schedule on a separate NYSE Information Memo.

For additional questions regarding this notice contact the ESC, you're DTCC Relationship Manager or the undersigned at 212-855-5667

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