

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6980
P&S# 6550
Date: April 16, 2010
To: ALL PARTICIPANTS
Attention: MANAGING PARTNER/OFFICER, OPERATIONS
PARTNER/OFFICER, COMPLIANCE OFFICER
From: PRODUCT MANAGEMENT
Subject: CHANGES TO NSCC CLEARANCE FOR NYSE AMEX – SPLIT REPORTING OF
NYSE & NYSE AMEX (PARTICIPANT TESTING)

NSCC Important Notice A# 6932, P&S# 6502 dated January 11, 2010 announced changes that will report trade data separately for NYSE and NYSE Amex Self Regulatory Organizations (SRO). This Important Notice announces the availability of the test file described below.

Participant Testing

DTCC will support participant testing by providing an example of this new value on the NYSE 400 byte Intraday test MRO, (AutoRoute Product ID 02993036). The test file will contain the new value of XASE using test brokers #0122 and #0123.