

IMPORTANT NOTICE

National Securities Clearing Corporation



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Date: April 16 , 2010

To: ALL PARTICIPANTS

Attention: MANAGER MUTUAL FUNDS OPERATIONS,
MANAGER DATA PROCESSING, MANAGER CASHIERS,
MANAGER P&S DEPARTMENT

From: WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS

Subject: NEW WEALTH MANAGEMENT SERVICES LEARNING
CENTER

Mutual Fund Services - Fund/SERV® and Networking Training Simulations For DTCC WebDirect Users Now Available in New Wealth Management Services Learning Center

DTCC Wealth Management Services is pleased to announce the launch of a new Learning Center available on the Wealth Management Services website. A user can enter the Center by clicking on the Products & Services tab, then clicking on DTCC Learning, then clicking on Wealth Management (<http://dtcclearning.com/learning/wealthmanagement>.) The Learning Center is organized to align with the different users and roles associated with Wealth Management Services (WMS) and to provide easy access to the critical “need to know” and “need to do” information about these services.

The first rollout of the Wealth Management Services Learning Center includes **a set of training simulations of Fund/SERV and Networking services for DTCC WebDirect users.** Throughout the year, Wealth Management Services and DTCC Learning will be announcing the inclusion of additional training materials, in order to expand the WMS Learning Center into a robust, “all-in-one” learning experience to meet all our participants’ training needs.

Access to the Learning Center is limited to current customers of Wealth Management Services. The steps below describe the registration process to be followed by new users.

How to Register for the Wealth Management Services Learning Center:

1. Go to <http://dtcclearning.com/learning/wealthmanagement>
2. Click “**New User Registration**” on the upper right corner of the page.

Non-Confidential

DTCC is now offering enhanced access to all important notices via a new, Web-based subscription service. The new notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

3. Enter all required information marked with an asterisk (*)
4. Click **“Register”**.
5. If your registration request is received before 3:00 p.m. eastern time, it will be processed the same business day. If it is received after 3:00 p.m. eastern time, it will be processed the next business day.

Once your registration request has been processed, you will receive an email confirmation from the Learning Center’s Training Administrator. You will then be able to access any of the Wealth Management Services Learning materials available. You simply enter your Username and Password and click **“Login”**.

When entering registration information, enter your business e-mail address. Personal e-mail addresses will be denied. You may receive a request for additional information. You will be informed by e-mail typically the business day after the request is made. If you are denied access you will also be informed of the reason for the decision via email.

Who to contact for help

If you have any questions or need additional support, please call your WMS Relationship Manager or DTCC Learning Center - Training Administrator at 888-258-6393, or email trainingadministrator@dtcc.com.

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DTCC Wealth Management Services