

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6997
P&S# 6567
Date: 05/28/10
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will no longer participate in the Fund/SERV, Networking, Commission Settlement and MF-Profile services, as detailed below:

Last Trade Date, May 28, 2010:

Allianz Global Investors Distributors LLC/Premier VIT No. 5703

- The following firm will change their name only, as detailed below:

First Trade Date, May 26, 2010:

From: Canaccord Financial Ltd. No. 5046

To: Canaccord Genuity Corp./CDS No. 5046

- The following firm will begin participation in the MF-Daily Price and Rate service, as detailed below:

First Trade Date, June 1, 2010:

Quasar Distributors, LLC/Genworth Funds No. 5864

- An additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, Networking, and MF-Daily Price and Rate services, as detailed below:

First Trade Date, June 1, 2010:

Global Trust Company/NTRS No. 5357

Networking Symbol: PO

Contact: Joya Favors

Phone: 866-876-7965

- Please update the NSCC Directory dated January 4, 2010 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change Effective First Trade Date, June 2, 2010</u>				
From: Melvin Securities, LLC	NFSC	0226	MLVN	
To: Melvin Securities, LLC	PERS	0443	MLVN	
<u>Add First Trade Date, May 25, 2010</u>				
Raven Securities Corp.	BSSC	0352	RAVE	
Raven Securities Corp.	BSSC	0352	RVBE	
<u>Add First Trade Date, May 28, 2010</u>				
Tradeking	PFSI	0234	TKNG	
<u>Add First Trade Date, June 1, 2010</u>				
Capital Path Securities, LLC	LSCI	0512	CAPT	

- Please update the NSCC Directory dated January 4, 2010 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Name Change Effective First Trade Date, May 26, 2010</u>				
From: Canaccord Financial Ltd./CDS	CICF	5046	CICF	5046
To: Canaccord Genuity Corp./CDS	CICF	5046	CICF	5046
<u>Delete Last Trade Date, May 28, 2010</u>				
Wedbush Morgan Securities, Inc.	WEDB	0103	WMBK	
Wedbush Morgan Securities, Inc.	WEDB	0103	WMSV	
PDQ ATS Inc.	WEDB	0103	PDQX	
<u>Add First Trade Date, May 24, 2010</u>				
Clearview Trading Advisors Inc.	SILK	0501	CLVU	
VT Brokers, LLC	PRST	0551	BIAD	
<u>Add First Trade Date, May 26, 2010</u>				
Griffin Financial Group, LLC	PFSI	0234	GRFN	

Add First Trade Date, June 1, 2010

MF Global Securities, Inc.

MANS 0120 MFMS

MF Global Securities, Inc.

MANS 0120 MFPT

If you have any questions regarding this notice, please contact Fredric Epstein (813) 470-1180 or
E-mail NSCCAA@DTCC.com