

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7014
P&S# 6585
Date: 06/18/10
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, June 16, 2010:

JGB International, Inc. No. 6403

Last Trade Date, June 17, 2010:

Quasar Distributors, LLC/Rigel Capital Funds No. 6548

Last Trade Date, June 21, 2010:

Stephens Inc/Wells Fargo Collective Fds No. 6766

Last Trade Date, June 23, 2010:

PFPC Distributors, Inc/PFPC Inc as Agent for PFPC Trust FBO Neuberger & Berman Management Inc. No. 0644

PFPC Distributors, Inc./First Puerto Rico Growth and Income Fund, Inc. No. 5547

- The following firm will begin participation in the MF-Daily Price and Rate as a Data Service Only Member, as detailed below:

First Trade Date, June 17, 2010:

McCamish Systems, LLC No. 6403

- The following firm will begin participation in the Fund/SERV and Networking services, as detailed below:

First Trade Date, June 21, 2010:

Wells Fargo Bank, N.A. No. 6766

Networking Symbol: SQ

Contact: Kristen Daniels

Phone: (612) 667-4481

- An additional number has been assigned to The Huntington National Bank (#2305) for the purpose of utilizing the Insurance Processing Service, as detailed below:

First Trade Date, June 21, 2010:

Huntington National Bank/Traditional Insurance No. 5383

- An additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

First Trade Date, June 22, 2010:

ALPS Distributors, Inc./Corner Cap Funds No. 5384

Networking Symbol: QH

Contact: Brian Bishop

Phone: (720) 917-0617

- Please update the NSCC Directory dated June 2010 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
LGNT	0052	WSEI	

Add First Trade Date, June 18, 2010

Wallstreet E Financial Services Inc.

- Please update the NSCC Directory dated June 2010 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
SALI	0750	CRTW	
WEDB	0103	GNSS	
PFSI	0234	PFDO	
PRST	0551	OMER	

Delete Last Trade Date, June 14, 2010

Curtiswood Capital, LLC

Add First Trade Date, June 17, 2010

Wedbush Morgan Securities, Inc.

Add First Trade Date, June 21, 2010

Penson Financial Services, Inc.

Add First Trade Date, June 25, 2010

Octeg, LLC

- Please update the NSCC Directory dated June 2010 (for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>	
<u>Identifiers</u>		<u>Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Delete Last Trade Date, June 18, 2010

Amtrust Investment Services, Inc.

NFSC	0226	OSSI	
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If you have any questions regarding this notice, please contact Fredric Epstein (813) 470-1180 or E-mail NSCCAA@DTCC.com