

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7020
P&S# 6591
Date: 07/02/10
To: **ALL PARTICIPANTS**
Attention: OPERATIONS PARTNER/OFFICER;
MANAGER P&S DEPARTMENT;
CASHIER; ACATS PARTICIPANTS;
FUND/SERV PARTICIPANTS; MUNICIPAL BOND
COMPARISON PARTICIPANTS
From: Linda Shackleton, Account Administration
Subject: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will no longer participate in the Trade Recording and Trade Comparison, Corporate Bond and Envelope Settlement services, as detailed below:

Last Trade Date, July 6, 2010:

Banc of America Specialist, Inc. No. 0020
NYSE Identifier: FLT

- The following firm will change its name only, as detailed below:

First Trade Date, July 1, 2010:

From: MeesPierson Securities LLC No. 0349
To: ABN AMRO Securities (USA) LLC No. 0349

- The following firm will begin participation in the Commission Settlement service, as detailed below:

First Trade Date, July 2, 2010:

Forside Fund Services, LLC/Dundee Wealth Funds No. 6549

- The following firm will begin participation in the Fund/SERV, Networking, ACATS and ACATS Fund/SERV services, as detailed below:

First Trade Date, July 1, 2010:

Bread & Butter Fund, Inc. No. 5358
Networking Symbol: QK
Contact: James Potkul
Phone: (973) 331-1000

- The following firm will begin participation in the Fund/SERV, Networking and MF-Daily Price and Rate services, as detailed below:

First Trade Date, July 1, 2010:

Brown Brothers Harriman & Co. No. 5930
 Networking Symbol: 5M
 Contact: Michel Donckel
 Phone: +352 47 40 66 507

- An additional number has been assigned to ING National Trust (#2360) for the purpose of utilizing the Insurance Processing Service, as detailed below:

First Trade Date, July 6, 2010:

ING National Trust Company/IFS No. 5377

- An additional number has been assigned to Teachers Advisors, Inc. (#5668) for the purpose of utilizing Fund/SERV, Networking and MF-Profile services, as detailed below:

First Trade Date, July 7, 2010:

Teachers Advisors, Inc./Life Funds No. 5392
 Networking Symbol: QS
 Contact: Mike Turkus
 Phone: (212) 916-5390

- Please update the NSCC Directory dated June 2010 (for OTC Executed-By List and for Municipal Bond Comparison System) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Clearing Broker Change, Effective First Trade Date, July 7, 2010

From: Euro Pacific Capital, Inc.	PERS	0443	EURP
To: Euro Pacific Capital, Inc.	NFSC	0226	EURP

Delete Last Trade Date, June 30, 2010

OES Brokerage Services, L.L.C.	MLCO	0161	OESM
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Delete Last Trade Date, July 1, 2010

First Legacy Securities, LLC	NFSC	0226	RELI
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Delete Last Trade Date, July 2, 2010

MF Global Securities, Inc.	MANS	0120	MFKE
MF Global Securities, Inc.	MANS	0120	MMPS
MF Global Securities, Inc.	MANS	0120	MFFC

Add First Trade Date, July 1, 2010

Halcyon Cabot Partners, Ltd.	FSWC	0309	BBHP
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- Please update the NSCC Directory dated June 2010 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, June 30, 2010</u>				
Stonegate Securities Inc.	FSWC	0309	STGS	
<u>Delete Last Trade Date, July 2, 2010</u>				
Heidtke & Company, Inc.	FCCP	0141	BUZZ	
Capital Securities of America, Inc.	FCCP	0141	CPAM	
Investors Security Co., Inc.	FCCP	0141	ISCI	
O’Keefe and Shaw	FCCP	0141	OSCO	
Pond Equities	FCCP	0141	POND	
<u>Add First Trade Date, June 29, 2010</u>				
Electronic Transaction Clearing, Inc.	ETCC	0873	ETAF	
Electronic Transaction Clearing, Inc.	ETCC	0873	ETAJ	
<u>Add First Trade Date, June 30, 2010</u>				
W.R. Hambrecht + Co., LLC	PRST	0551	HESM	
Electronic Transaction Clearing, Inc.	ETCC	0873	ETAH	
Nelnet Capital LLC	SALI	0750	UFSM	
<u>Add First Trade Date, July 1, 2010</u>				
Fortis Clearing Americas LLC	SHMR	0695	TYDF	
Fortis Clearing Americas LLC	SHMR	0695	VLTF	
Wedbush Securities, Inc.	WEDB	0103	WEDY	
Electronic Transaction Clearing, Inc.	ETCC	0873	ETAA	
Elevation LLC	MLOC	0161	ELTD	
<u>Add First Trade Date, July 2, 2010</u>				
Kuykendall & Schneider, Inc.	FSWC	0309	KUYK	
<u>Add First Trade Date, July 6, 2010</u>				
Newedge USA, LLC	PCAP	0608	NEUC	

Please update the NSCC Directory dated June 2010 (for Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Clearing Broker Change, Effective First Trade</u>				
<u>Date, June 30, 2010</u>				
From: Nelnet Capital LLC	NFSC	0226	UFSM	
To: Nelnet Capital LLC	SALI	0750	UFSM	
<u>Clearing Broker Change, Effective First Trade</u>				
<u>Date, July 2, 2010</u>				
From: Kuykendall & Schneider, Inc.	CWMK	0438	KUYK	
To: Kuykendall & Schneider, Inc.	FSWC	0309	KUYK	
<u>Clearing Broker Change, Effective First Trade</u>				
<u>Date, July 8, 2010</u>				
From: Municipal Capital Markets Group, Inc.	NFSC	0226	MCMG	
To: Municipal Capital Markets Group, Inc.	FSWC	0309	MCMG	

If you have any questions regarding this notice, please contact Fredric Epstein (813) 470-1180 or E-mail NSCCAA@DTCC.com