

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7023
P&S# 6594
Date: 07/08/2010
To: All Participants
Attention: Managing Partner/Officer; P&S Manager, Cashier; Operations Manager;
Manager, Data Processing Manager, IT Manager
From: Product Management
Subject: **CHANGES TO NSCC CLEARANCE FOR NYSE AMEX TRADING NASDAQ
SECURITIES**

As previously announced, New York Stock Exchange (NYSE) AMEX will begin trading of NASDAQ-listed equities and select ETFs on an Unlisted Trading Privilege (UTP) basis. The scheduled launch date is July 12, 2010.

NSCC has implemented related changes into production. Previous NSCC Important Notices related to this initiative include Notice A#6882, P&S#6452 dated October 1, 2009, Notice A#6939, P&S#6509 dated January 29, 2010 and Notice A#6951, P&S#6521 dated February 19, 2010.

Further launch and testing information distributed by NYSE AMEX can be found through the following links:

http://traderupdates.nyse.com/2010/06/nyse_amex_trading_of_nasdaqlis.html

http://traderupdates.nyse.com/2010/07/nyse_amex_trading_of_nasdaq_sy_5.html

For questions, please contact the Enterprise Service Center, your Relationship Manager or the undersigned at (212) 855-2262.

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