

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 7027

P&S#: 6598

Date:

To:

Attention:

From:

Subject:

Effective September 24, 2010, a new value will be added to the IRS Qualification Code field on the ACATS Variable and Fixed Asset Input (AT) records. The addition of this value is being made in conjunction with the code lists for this field on the record layouts for Insurance and Retirement Processing Services (I&RS). Members are expected to make the necessary systems changes to support this new value.

The new value that will be **added** to the IRS Qualification Code field is as follows:

- 1316 – Qualified Stretch (inherited) ROTH Plan

Input Modifications

ACATS AT – Variable Record Length - 36667

- Bytes 1044 -1047 (Insurance Asset Section)

ACATS AT – Fixed Record Length - 32667

- Bytes 29-32 (Record 17 - Insurance Asset Section)

This new value will be also be updated to the IRS Qualification Code field in the insurance asset section on the Add an Asset and Adjust an Asset input screens on DTCC Web Direct as well as the insurance asset section for PTD's

Output Modifications

- Multi-Cycle Transaction MRO AutoRoute 02090716 & End-of-Day Transaction MRO AutoRoute 02090717 (Variable Record Length)
 - Record Subtype 'A', Bytes 1290 – 1293
- ACATS Output Settlement AutoRoute 02090719 (Variable Record Length)
 - Record Subtype 'A', Bytes 1148 – 1151
- ACATS Output Position AutoRoute 02090718 (Variable Record Length)
 - Record Subtype 'A', Bytes 1148 – 1151
- Multi-Cycle Transaction MRO AutoRoute 02090722 & End-of-Day Transaction MRO AutoRoute 02090723 (Fixed Record Length – Record 22 – Insurance Asset Section)
 - Record Subtype 'A', Bytes 29 – 32
- ACATS Output Settlement AutoRoute 02090725 (Fixed Record Length – Record 20 – Insurance Asset Section)
 - Record Subtype 'A', Bytes 29 – 32
- ACATS Output Position AutoRoute 02090724 (Fixed Record Length – Record 20 – Insurance Asset Section)
 - Record Subtype 'A', Bytes 29 – 32

Participant Services Environment

Beginning August 27, 2010, testing of these modifications will be accommodated in the Participant Services Environment (PSE) region. Participants wishing to test must contact the Enterprise Service Center (ESC) by calling the NSCC Production Support Hotline at 888-382-2721, option 5, option 1. It is recommended at least three days prior notice be provided to ESC.

Additional Information

A copy of this Important Notice can be obtained from the NSCC Important Notices section of DTCC's web site at http://www.dtcc.com/legal/imp_notices/. If you have any questions regarding these enhancements, please contact your DTCC Relationship Manager or the undersigned at (212) 855-4223.

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